

1 **UTILIZATION OF NOVEL SELF-NANOEMULSIFYING FORMULATIONS**
2 **(SNEFs) LOADED PACLITAXEL FOR THE TREATMENT PROSPERITY OF**
3 **BLADDER CANCER**

4
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19
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34 **Abstract**

35 **Background:** Limited drug penetration into solid tumors has been one of the potential
36 causes of resistance to chemotherapy for the treatment of bladder cancer. The aim of the
37 study is to develop non-toxic self-nanoemulsifying formulations (SNEFs) of paclitaxel
38 and to evaluate their ability to serve as a tool increasing the stability and solubility of
39 paclitaxel in formulations utilizing the drug intravesical administration. **Methods:**
40 Various oil-in-water non-toxic self-nanoemulsifying formulations (SNEFs) were
41 developed using Cremercoor MCT, Kollisolv MCT, Miglyol 812, 810, Capmul MCM,
42 Imwitor 988, Imwitor 742 with TO106V, Tween 85, HCO30, Kolliphor EL and
43 Cremophor RH40 at size ranges from approximately 19 to 110 nm that are capable of
44 enhancing the solubility and stability of paclitaxel. Visual assessment and droplet size
45 measurements were taken into initial consideration for optimised SNEFs. Paclitaxel was
46 added with the oil/surfactant mixture before dispersing the mixture in water to form
47 SNEF. The cytotoxicity of the optimal SNEFs was compared with the raw paclitaxel
48 dispersion *in vitro*. **Results:** Initial characterisation and solubility studies showed that
49 mixed glycerides of Kollisolv MCT/Imwitor 742 with water-soluble surfactant (high
50 HLB) containing formulations generated highly efficient SNEFs as they are stable and
51 produced lower nanodroplets with higher drug loading. The results have demonstrated
52 that the SNEFs have good ability to retain its characteristics under conditions similar to
53 that found in the urinary bladder up to 48 hours. However, the results also showed that
54 chemosensitivity of cancer cells exposed to paclitaxel was attenuated in the presence of
55 SNEFs. Larger size SNEFs have shown to induce more inhibitory effects on paclitaxel
56 activity. **Conclusion:** optimised SNEFs have demonstrated the ability to enhance the
57 solubility of paclitaxel with stable construction of SNEFs under variable physiological
58 conditions. The reduction of the efficacy of SNEF-loaded paclitaxel indicates a strong

59 encapsulation of the drug within the nano-carriers causing limitation in drug release.

60 Such drug encapsulation may facilitate the penetration due to reduced cellular

61 metabolism the drug. Further investigations are warranted.

62

63 **1. Introduction**

64 Tumor resistance to chemotherapy has been the major problem facing cancer
65 therapy for all types of cancers. The failure of chemotherapy to reach and kill all
66 cancerous cells will lead to probable tumor recurrence and possible progression of cancer
67 to muscle-invasive tumor [1, 2].

68 Bladder cancer, which is considered one of the main types of cancer, is known for
69 its high rate of recurrence in patients after a short period of eradication. Despite the
70 advances achieved in bladder cancer treatment and decreased mortality rates during the
71 last two decades, yet a large number of all cancer-related deaths were caused by bladder
72 cancer [3]. Its success is expected to radically change the current practice of
73 chemotherapy, as well as greatly improve the quality of life of patients, sustained drug
74 exposure and possible reduction in health care cost [4]. One of the main reasons for the
75 failure of current chemotherapies was linked to the lack of adequate accessing of drugs
76 to all cancer cells, leaving some of these cancer cells alive. Unfortunately, the majority
77 of cytotoxic drug, especially those with superior and broad spectrum of anticancer
78 activity such as paclitaxel, have poor bioavailability.

79 Intravesical administration of drug provides the advantage of local exposure to
80 the drug. However, variable results are obtained among patients leading to think of new
81 applications to enhance the outcomes. Studies have shown that the incomplete responses
82 of intravesical treatment with mitomycin c (MMC) and doxorubicin (the most commonly
83 used drugs for intravesical treatment) are in part due to their limited penetration through
84 bladder tissue [5, 6]. For instance, Au et al [7] have shown in randomized phase III
85 clinical trial that manipulated the pharmacokinetics (PK) of MMC by increasing the dose
86 and stability, resulted in improved efficacy (increased tumor recurrent-free frequency
87 and time).

88 Nanoparticles are able to preferentially accumulate at tumor sites by extravasation
89 through the leaky vasculature of tumor sites via the well-known enhanced permeability
90 and retention (EPR) effect. SNEFs possess considerable potential for use in drug delivery
91 as the systems are highly biocompatible have long life-span, protect the loaded drugs
92 from inactivation and high amount of drugs can be loaded into a small volume of SNEFs.
93 These properties, in turn, assure the delivery of sufficient dose using a limited volume of
94 SNEFs as demonstrated in clinical and animal studies.

95 Nanodroplets within SNEFs are defined as particulate dispersions or droplets in
96 aqueous media with a size in the range of 10-1000nm. Drugs can be dissolved, entrapped,
97 encapsulated or attached to a nanoparticle matrix. Depending on the method of
98 preparation, SNEFs can produce nanodroplets, nanospheres and nanocapsules [8]. The
99 major goals in designing SNEFs as a delivery system are to control particle size, surface
100 properties and to achieve site-specific action of the drug. As a drug delivery system
101 SNEFs have several advantages including: passive and active drug targeting, increased
102 therapeutic efficacy, lesser side effects and preservation of drug activity, enhanced
103 cellular uptake and increased biological activity. SNEFs can be used for various routes
104 of administration including oral, nasal, parenteral and intra-ocular. The bladder
105 intravesical route for nanoemulsion chemotherapy preparations is promising.

106 SNEFs have limitations as the solubilisation depends on the solvent capacity of
107 the excipients used in the formulation design. The solubilisation can be enhanced in self-
108 nanoemulsifying formulations (SNEFs) by addition of hydrophobic material (Polar oils)
109 that provides more space for solubilisation of poorly soluble drugs [9]. SNEFs of some
110 drugs such as paclitaxel [10], doxorubicin [11], and curcumin [12] have been explored
111 with enhanced therapeutic potential.

112 SNEFs vary from a simple oil solution to complex mixtures of oils and
113 surfactants. It covers a wide range of formulation types, such as solutions, emulsion, self-
114 emulsifying formulations and micellar systems and suitable for poorly soluble drugs.
115 Self-nanoemulsifying formulations have many advantages over other conventional drug
116 delivery systems. Mainly, fine oil droplets of SNEFs increases stability of the drug and
117 provide a large interfacial area for partitioning of the drug between oil and water. There
118 are mainly two types of SNEFs reported, which include Self-microemulsifying systems
119 and Self-nanoemulsifying systems and their differences in the characteristic features [13],
120 [14], [15].

121 Paclitaxel is diterpenoid, poly-oxygenated pseudo alkaloid anticancer drug
122 isolated from the bark of the Pacific yew tree (*Taxus brevifolia*) [16, 17]. It is an
123 extremely hydrophobic drug and practically considered as water-insoluble [18]. [17] [19].
124 Paclitaxel acts by promoting and stabilizing the polymerization of microtubules thereby,
125 inhibiting cell replication and blocking cells in the late G2-mitotic phase of the cell cycle
126 and its mechanism of action is unique and characteristic to it and its congener taxoids
127 [19]. Moreover, paclitaxel may exert its anticancer effect through other different
128 mechanisms such as inhibition of tumor angiogenesis, stimulation of tumor necrosis
129 factors, and induction of cytokines and tumor-suppressor genes [20]. Paclitaxel is
130 considered the backbone of female cancer chemotherapy such as breast cancer and
131 ovarian cancer [18]. It is also approved for refractory AIDS-related Kaposi's sarcoma,
132 non-small-cell lung carcinomas, and advanced esophageal cancer [18].

133 The main problem associated with Taxol[®] chemotherapy is the severe
134 hypersensitivity reactions which necessitate the administration of high-dose
135 glucocorticoids, H1 antagonist antihistamines and H2 antagonist as a premedication
136 protocol for all patients receiving Taxol[®] [21].

137 Here, we proposed to develop and evaluate self-nanoemulsifying formulations
138 (SNEFs) as a carrier for paclitaxel used for intravesical chemotherapy of the bladder
139 cancer. One important property of SNEF is the formation of colloidal-sized clusters in
140 solutions, known as micelles, which express significance in pharmaceutical formulations
141 because of their ability to enhance the solubility of poorly soluble drugs in water.
142 Additionally, numerous drug delivery and drug targeting systems have been developed
143 in an attempt to prevent harmful side effects, to decrease drug degradation and loss, and
144 to enhance drug bioavailability.

145 Several studies have attempted to improve the penetration of paclitaxel injected
146 into the bladder by using solvents, surfactants and solid nanoparticles. The current study
147 aimed to develop non-toxic nanoemulsion carriers of paclitaxel and to evaluate their
148 ability to serve as a tool increasing the stability and solubility of paclitaxel utilizing the
149 drug intravesical administration into the bladder. As far as we know, there is no previous
150 study evaluating SNEFs as a tool for intravesically administered paclitaxel into the
151 bladder.

152 **2. Materials and Experimental methods**

153 **Materials**

154 All chemicals/materials used in this study were obtained from well-known
155 commercial suppliers and used without further purification. Paclitaxel was obtained
156 from BioTrend chemicals AG, Zurich, Switzerland. Kollisolv 70 (K70) (medium chain
157 triglyceride, C₈-C₁₀), Imwitor 988 (I988) (medium chain mono- and di-glycerides) were
158 obtained from Sasol, GmbH, Werk Witten, Witten-Germany. Cremercoor[®] MCT 70/30
159 (M70) (caprylic/capric acid triglycerides (70% caprylic C₈, 30% capric C₁₀) was
160 purchased from Cremor Oleo, GmbH, Germany. Capmul MCM (CM) (Mix of Mono-

161 80% & diglycerides C₈₋₁₀) was obtained from Abitec Corporation, Germany. The non-
162 ionic surfactants HCO-30 (Polyoxyethylene hydrogenated castor oil, HLB - 11) was a
163 gift from Nikko Chemicals Co. (Tokyo, Japan). All other chemicals used in the
164 preparation of SNEFs were obtained from Sigma-Aldrich, UK unless specified
165 otherwise. Glass culture tubes 13×100 mm (Pyrex®, screw cap) (Corning, USA) were
166 used for fraction collecting and mixed using a Vortex mixer. A thermostated water bath
167 (Ratek Instruments Pty. Ltd., Boronia, Victoria) was used to incubate all the sample
168 containing tubes at two different temperatures (22°C and 37°C). Phase transitions and
169 liquid crystalline phase were identified using the Allen viewer, which was fitted with
170 cross-polarizing filters. High purity Milli-Q water was obtained through a Milli-Q pure
171 lab flex (ELGA by Veolia water, UK).

172

173 **Experimental methods**

174 **SNEFs formulation design**

175 A number of primary and secondary lipid oils from various manufacturers (Table
176 1) with known surfactants were mixed to develop SNEFs within lipid-based
177 formulations. The formulations were prepared by a simple two steps preparation method
178 using varying concentrations of single or blend of two oils, and surfactant (% weight).
179 In the primary step, oil mixture was prepared with oils of different kinds from various
180 manufacturers. Details of the chemical compositions of the materials are listed in Table
181 1. Then a surfactant was added to the primary mixture of oils at various ratios. The
182 homogeneity of final mixture was achieved by vortexing at room temperature. The
183 anhydrous formulation mix was kept in an airtight 3 mL glass vial prior to use.

184 Afterwards, the most suitable SNEFs formulations were investigated carefully using
 185 paclitaxel.

186

187 **Table 1:** Description of the lipid excipients and their chemical compositions based on
 188 their suppliers, functional group, or their role in the formulation

Abbreviation	Name	Function and Chemical composition
K70	Kollisolv MCT 70	Primary Oil, Medium chain triglyceride [C ₈ -C ₁₀]
M70	Cremercoor MCT70/30	Primary Oil, Medium chain triglyceride [C ₈ -C ₁₀]
I988	Imwitor 988	Secondary Oil, Medium chain mono and diglycerides [higher C ₈]
I742	Imwitor 742	Secondary Oil, Medium chain mono-, di- and triglycerides, [C ₆ -C ₁₀]
M812	Miglyol 812	Primary Oil, Medium chain triglycerides, [higher C ₈]
M810	Miglyol 810	Primary Oil, Medium chain triglycerides, [higher C ₁₀]
CM	Capmul MCM	Secondary Oil, Medium chain mono & diglycerides, [higher C ₁₀]
TO	TO106V	Surfactant, PEG 6 sorbitan monooleate, HLB-10
HCO30	Hydrogenated castor oil	Surfactant, POE-30-hydrogenated castor oils, HLB-11
T85	Tween 85	Surfactant, Polyoxyethylene sorbitan trioleate, HLB-11.5
KrEL	Kolliphor EL	Surfactant, Polyoxyl 35-hydrogenated castor oil, HLB-14.5
CrRH40	Cremophor RH40	Surfactant, Polyoxyl 40-hydrogenated castor oil, HLB- 16

189

190

191 **Studies of equilibrium phase behavior**

192 Phase equilibria within ternary systems are most conveniently represented by a
193 triangular phase diagram which delineates the phase regions enabling to select suitable
194 combinations of the three components to produce stable emulsions or clear isotropic
195 solubilized systems as required. In this case phase diagrams were used to represent all
196 the possible mixtures of oil, surfactant and water systems. When oils were blended to
197 modify the polarity of the oil phase, the oil mixture ratio was constant allowing similar
198 phase diagrams to be constructed.

199 The phase diagrams were investigated at two different temperatures (22 and
200 37°C) using the following methods [22]. Briefly, the samples were prepared at different
201 weights and one-phase compositions were identified by visual observation. In cases
202 where needed, samples were left at particular temperature and observed for several
203 weeks in order to estimate the long term stability of phases and, also, to obtain an
204 improved separation. Different liquid crystalline phases were identified using optical
205 viewer (Allen viewer) with the sample viewed between crossed polarizers. The
206 following systems were studied for the triangular phase diagrams using Oil-Surfactant-
207 Water mixture.

208 System 1: K70: I988 (7:3)/KrEL/Water

209 System 2: M70: CM (7:3)/HCO30/Water

210 **The preparation method of SNEFs and characterizations**

211 *a) Preparation of primary mixtures of the formulation*

212 In the experiments the primary sample was prepared for those systems, where
213 the blending of two oils has been used with the surfactant. For instance, the mixture of

214 K70 and Imwitor 988 (7:3) was prepared for K70: I988 (7:3)/KrEL/Water system as a
215 primary mixture by weighing on a model Bp 210S Sartorius balance. The mixture was
216 mixed carefully with a vortex mixture to ensure the uniformity. These mixture ratios of
217 two oils would remain constant for the secondary mixture.

218 *b) Preparation of secondary mixtures of the formulation*

219 Once the primary mixture was complete, the secondary mixture was prepared by
220 adding various weight percentage of non-ionic surfactants. According to different
221 oil/surfactant ratios 8 to 11 sample mixtures prepared in the secondary system were
222 accurately weighed into a 20 ml glass beaker followed by vortex mixing. The mixture of
223 oil and surfactants were used to prepare emulsions under conditions of gentle agitation
224 at a controlled temperature.

225 *c) Preparation of ternary mixtures of the formulation*

226 The ternary mixture was prepared by adding accurately water (% w/w) into the
227 secondary mixture (oil/surfactant), which were weighted into screw-capped glass tubes
228 with water-tight closures. For 8-11 sample mixtures in the secondary formulation, 80-
229 100 mixtures were prepared at different weights in a number of glass tubes during the
230 ternary formulation. The data points were determined in thermostated samples with the
231 aid of a water bath at 22°C, and 37°C temperatures. All Phase transition was determined
232 by placing the samples between cross polarizers. The number and types of phases were
233 recorded.

234 *d) The Visual assessment of SNEFs*

235 A visual test to assess the self-emulsification properties of the current SNEF
236 formulations was initially adapted with a minor change from our previous studies [23].

237 Briefly, within the scope of the present investigation, visual test was important to avoid
238 trial and errors. To screen each formulation, spontaneity, dispersibility and appearance
239 were taken into considerations after aqueous dilution of the anhydrous formulations.
240 Formulation (100mg) was dropped into 10 mL (w/v) (1 in 100 dilution factor) of distilled
241 water and agitated gently at room temperature. The emulsion time (referred as
242 spontaneity) and also the progress of emulsion droplets were observed against time.
243 Spontaneity was considered as “efficient” when the droplets of formulation spread in
244 distilled water effortlessly to form emulsion in ≤ 1 minute. On the other hand,
245 dispersibility was defined as ‘good’ when the formulation droplets were completely
246 dispersed in distilled water without floating particles. To confirm the efficiency of the
247 formulations further characterization was also performed in considering their
248 appearance. The appearance of the formulations was taken as milky, hazy or transparent
249 according to the degree of clarity after aqueous dilution.

250 *e) The Particle size distribution of the SNEFs formulation*

251 The Zetasizer nano-25 (Malvern Instruments Limited, United Kingdom) was
252 used in this study in standard autosizer mode to assess the size distribution of particles
253 at the SNEF after aqueous dispersion. The representative self-emulsifying formulations
254 were diluted in distilled water (1:1000 v/v) and mixed for 1 minute to ensure its disparity
255 before testing. The particle size analysis was performed on 1 mL sample volumes using
256 glass cuvettes. Measurements were performed at room temperature, with 10-min runs,
257 using a 400 mm aperture. Values were calculated by finding the mean of three separate
258 measurements for each formulation. Results were expressed as the Z-average mean (the
259 harmonic intensity averaged particle diameter. The cuvette was used to fill diluted

260 samples in the sample compartment and the data were collected for 10 times. All
261 experiments were carried out in triplicates.

262 *f) Influence of dilution with water for Injection (WFI) or saline (NaCl 0.9%) on SNEFs*
263 *stability*

264 Particle size distribution and visual assessment were performed as described
265 above of the emulsions after dispersion in serial dilution in WFI or saline to ensure their
266 stability at various dilution rates. The representative self-emulsifying formulations were
267 diluted in a ratio of 1:100 to 1:10000 v/v (SNEF:WFI/0.9% NaCl) and mixed for 1 min
268 before testing.

269 *g) Influence of pH on SNEFs stability*

270 Particle size distribution and visual assessment were performed as described
271 above of the emulsions after dispersion in aqueous dilution (1:1000 v/v, SNEF:0.9%
272 NaCl). Salt solutions were buffered at various pH range (4 to 8.8) in order to ensure their
273 stability at conditions similar to that in the urinary bladder. The representative self-
274 emulsifying formulations were diluted in a ratio of 1:10 to 1:10000 v/v (SNEF:WFI) and
275 mixed for 1 min before testing.

276 *h) SNEFs loading with paclitaxel*

277 The paclitaxel-loaded SNEFs at the concentration of 50 µg/ml were prepared by
278 adding 2 µl of paclitaxel (250 mg/ml) into 98 µl SNEF and mixed well before the
279 resultant solution was added into cell culture media at ratio 1:100 v/v. The paclitaxel-
280 loaded SNEFs at the concentration of 250 µg/ml were prepared by adding 2 µl of
281 paclitaxel (250 mg/ml) into 98 µl SNEF and mixed well before the resultant solution
282 was added into cell culture media at ratio 1:20 v/v. The representative self-emulsifying

283 formulations were diluted in a ratio of 1:10 to 1:10000 v/v (SNEF:WFI) and mixed for
284 1 min before testing. Particle size distribution and visual assessment were performed as
285 described above.

286

287 **Chemosensitivity studies**

288 *a) Materials and chemicals*

289 MCF7 Human Breast cancer cells were obtained from American Type Culture
290 Collection (ATCC), USA. DLD1 colorectal cancer cells were a kind gift from Dr.
291 Ahmad Al-Jada'a's lab (King Saud bin Abdulaziz University for Health Sciences,
292 Riyadh, KSA). Hanks Balanced Salt Solution (HBSS), Trypsin/EDTA solution, RPMI
293 1640 medium and its' components were obtained from Invitrogen, USA

294 *b) Cell culture condition and maintenance*

295 Cancer cell lines were kept frozen in liquid nitrogen for long storage. When required
296 cells were briefly warmed to 37°C before transfer to pre-warmed 10 ml complete RPMI
297 1640 medium consisted of 1 mM Sodium pyruvate, 2 mM L-glutamine and 10% Fetal
298 Bovine Serum and centrifuged for 5 min at 1700 rpm (250 g). Cells were re-suspended
299 in complete medium. Cells were then placed into 75ml flask left to adhere overnight in
300 a 5% CO₂ enriched humidified incubator at 37°C. During the project, cells were
301 maintained routinely as a monolayer in complete RPMI 1640 medium in humidified
302 incubator at 37 °C in a CO₂ enriched atmosphere (5%). Cells were left to grow in sterile
303 T75 cell culture flasks until reached approximately 80% confluence. When required,
304 supernatant was removed and monolayer cells were washed twice in Hanks' Balanced
305 Salt Solution (HBSS) before adding 5 ml of trypsin/EDTA solution for 10 minutes. After

306 obtaining a single-cell suspension, 10 ml of medium was added to neutralize the trypsin.
307 Cell-suspension was then centrifuged at 250 g for 5 minutes. The resultant cell pellet
308 was re-suspended in 10 ml complete RPMI medium and counted under inverted
309 microscope using heamocytometer.

310 *c) Measurement of SNEFs toxicity on cultivated monolayer cancer cells using Trypan*
311 *Blue*

312 Trypan Blue is a stain used in dye exclusion procedures for viable cell counting. This
313 method is based on the principle that Trypan Blue does not enter viable cells (remain
314 clear), whereas it enters and stains the dead cells (stained blue). Briefly, cancer cells (2
315 $\times 10^5$ cells) were exposed to different SNEFs and incubated for one hour in a 5% CO₂
316 enriched humidified incubator at 37°C. After that, cells were centrifuged and re-
317 suspended in 200 µl complete medium followed by 200 µl of 0.4% Trypan Blue solution
318 (w/v) and mixed well. Immediately after that, 10 µl of the suspension mixture was
319 transferred into each chamber of a haemocytometer. Viable (non-stained) and non-viable
320 cells (stained blue) in each 1 mm square were counted and at least 10 counts were
321 performed. The percentage cell viability of cells was then determined using the
322 following calculations:

323
$$\% \text{ cell viability} = (\text{number viable cells} / \text{number of total cells}) \times 100\%$$

324 *d) MTT assay*

325 MTT assay to be performed as described in the literature [24] 20 µl of MTT
326 solution (5 mg/ml) to be added into each well and incubated in the dark at 37°C for 4
327 hours. The supernatant was removed and formazan crystals were dissolved in DMSO
328 (150 µl). The absorbance was read immediately at 540 nm in multi-well plate

329 spectrophotometer (BioTek, USA). The average absorbance in the control wells was
330 taken as 100% survival, and the IC₅₀ values were defined as the drug concentrations that
331 inhibited the cell growth by 50%.

332 *e) Measurement of drugs toxicity on cultivated monolayer cancer cells following 96-*
333 *hour exposure*

334 Cancer cell lines were seeded into 96 well plates (3x10³ cell/well) and left to
335 adhere overnight at 37 °C in a 5% CO₂ enriched humidified incubator. The medium was
336 then replaced with fresh one containing a suitable concentration range of drug
337 (paclitaxel) Cells were then incubated in humidified incubator at 37°C for 96 hours
338 before the MTT assay was performed as described above.

339

340 *f) Measurement of drug toxicity on cultivated monolayer cancer cells following one-*
341 *hour exposure*

342 Cancer cell lines were seeded into 96 well plates (3 x 10³ cell/well) and left to
343 adhere overnight at 37 °C in a 5% CO₂ enriched humidified incubator. The medium was
344 then replaced with fresh one containing a suitable concentration range of drug
345 (paclitaxel). Cells were then incubated in humidified incubator at 37°C for one hour.
346 After the completion of the time course, cells were washed twice using HBSS and
347 substituted by fresh medium containing no drug. The plates then were incubated for 95
348 hours before the MTT assay was performed as described above.

349 *g) Measurement of paclitaxel-loaded SNEF toxicity on cultivated monolayer cancer*
350 *cells following one-hour exposure*

351 Cancer cell lines were seeded into 96 well plates (3×10^3 cell/well) and left to adhere
352 overnight at 37 °C in a 5% CO₂ enriched humidified incubator. Cells were then exposed
353 to medium containing a suitable range of paclitaxel-loaded SNEFs (diluted by RPMI
354 medium). Cells were then incubated in a humidified incubator at 37°C for one hour.
355 After the completion of the time course, cells were washed twice using HBSS and
356 substituted by fresh medium containing no drug. The plates then were incubated for 95
357 hours before the MTT assay was performed as described above.

358 **Statistical Analysis**

359 Data were analyzed using SPSS 22.0 (IBM Corp., Armonk, NY, USA). Impact
360 on inhibition was compared using One Way ANOVA, and the significant differences
361 were decided at a confidence interval of 95% and $P < 0.05$. In addition, Tukey Post Hoc
362 statistical test was employed to produce pairwise comparisons among treatments.

363

364 **3. Results and discussion**

365 **SNEFs Preparation and Physical Evaluation**

366 *A) Construction of Ternary Phase Diagram*

367 The ternary phase diagrams were mapped at different temperatures (22°C and 37°C) to
368 select efficient self-nanoemulsifying regions. In addition, the concentration of oil and
369 surfactant was determined to develop stable model drug-loaded SNEFs. Figure 1a and
370 1b show representative constructed phase diagrams of oil-mix (K70:I988), surfactant
371 (KrEL) and water at 22°C and 37°C.

372

373 *B) Selection of self-nanoemulsifying formulations from phase diagrams and their* 374 *assessment*

375 The phase diagram constructed at 22°C illustrates that 50 % oil onward with
376 surfactants are able to solubilize significantly large amount of water (Figure 1a & 2a).
377 These anhydrous mixtures mostly produce the nanoemulsifying formulations. Low oil
378 quantity with surfactant produces crude emulsion which will not be targeted for scope
379 of the current work. Hence, the L2 region (oil continuous) L1 (water continuous) regions
380 are considered to be the nanoemulsifying regions. Different concentrations of oil such
381 as 10%, 20%, 30%, 35%, 40% w/w), was utilized for preparation of effective
382 nanoemulsion. This helped in selecting of various formulations covering the entire
383 nanoemulsion area of phase diagram.

384 The phase diagram of the same components at 37°C did not show very different
385 phase regions (Figure 1b & 2b). Only the liquid crystalline phase (LC) was narrowed
386 down to L2 phase. The selected SNEFs from the phase diagrams emulsifies less than a
387 minute, giving transparent and or bluish appearance nanoemulsion. The prepared SNEFs
388 are proved to show the thermodynamic stability due to the adsorption of surfactant at the
389 interface that decreases the interfacial energy.

390

391 *(C) Visual assessment of the SNEFs*

392 Visual observation is a plausible mean of assessment to the experienced eye in
393 order to differentiate efficient and poor formulations since most of these formulations
394 are expected to produce nano-sized droplets upon dispersion. The following factors were
395 considered for visual assessments to optimise SNEFs formulation: homogeneity, time
396 for dispersion and appearance upon dilution with water. The dilution ratio of 1:500
397 (formulation: water) was maintained. In the current study, efficient formulations are
398 defined when homogeneous dispersion (transparent/bluish appearance in Table 2) is
399 achieved in less than 1 min. As shown in Table 2, all representative formulations except

400 SNEF 6, 15 & 18 (non-dispersible in aqueous media) were found to be efficient and were
 401 used for further optimization.

402

403

404 **Table 2:** Visual assessment of dispersions formed by different formulation systems
 405 under self-emulsification conditions (NA denotes “not available”)

406

No	Formulation Name (%W/W)	Dispersibility	Appearance after dispersion	Efficient SNEF
SNEF 1	K70:I988(7:3)/KrEL[70/30]	~10 Sec	Turbid	√
SNEF 2	K70:I988(7:3)/KrEL[50/50]	~10 Sec	Transparent	√
SNEF 3	K70:I988(7:3)/KrEL[30/70]	~1min	Transparent	√
SNEF 4	K70:I988(7:3)/KrEL[20/80]	<2min	Transparent	√
SNEF 5	M70:CM(7:3)/HCO-30[50/50]	~10 Sec	Bluish	√
SNEF 6	M70:CM (7:3)/TO [70/30]	NA	2 phase solution	X
SNEF 7	M70:CM (7:3)/TO [50/50]	<2min	Turbid	√
SNEF 8	M70:CM (7:3)/TO [20/80]	~10 Sec	Turbid	√
SNEF 9	M 812: I 988 (7:3)/T85[40/60]	~10 Sec	Turbid	√
SNEF 10	M812 : I988 (7:3)/T85[60/40]	~10 Sec	Hazy	√
SNEF 11	M812 : I988 (7:3)/T85[90/10]	~10 Sec	Turbid	√
SNEF 12	M812 : I988 (7:3)/KrEL[50/50]	<2min	Transparent	√
SNEF 13	M812 : I988 (7:3)/KrEL[70/30]	~10 Sec	Transparent	√
SNEF 14	M812 : I988 (8:2)/KrEL[90/10]	~10 Sec	Turbid	√
SNEF 15	M812 : I988 (9:1)/KrEL[70/30]	NA	2 phase solution	X
SNEF 16	M810:I742(7:3)/CrRH40[50/50]	<2min	Transparent	√
SNEF 17	M810:I742(7:3)/CrRH40[70/30]	~10 Sec	Transparent	√
SNEF 18	M810:I742(9:1)/CrRH40[90/10]	NA	2 phase solution	X
SNEF 19	M810:I742(8:2)/CrRH40[90/10]	~10 Sec	Turbid	√

407

408

409 *(D) the particle size distribution of the SNEFs formulation*

410 The selection of the optimized SNEFs was based on the stability, reproducibility
 411 of SNEFs size after dispersion, appearance and also single sized population. The SNEF
 412 was considered stable if retained its characteristics after dispersion for at least 24 h at
 413 room temperature. Reproducible SNEFs are those generate the same droplet size $\pm 20\%$
 414 in each time after dispersion in different days over a period of one week. Appearance is
 415 accepted regardless if it is transparent, hazy or even turbid with the condition that the
 416 SNEF retains its stability and gives a single sized population peak in the Zetasizer
 417 analysis. SNEFs with 2 phases appearance are considered unacceptable (SNEF 6, 15 &
 418 18). Interestingly, none of the SNEFs sized ≥ 100 nm did have these conditions. It may
 419 be worth mentioning that formulations with turbid dispersion appearance were
 420 heterogonous with the droplets sizes ranging between 163.6 and 551.6 nm.

421

422 **Table 3:** Droplet size distribution of different SNEFs within lipid-based formulations.

423 Data are presented as mean only (n=3, SD < 2%)

424

No	Formulation Name (%W/W)	Average particle size (nm) 1/100 dilution in WFI	PDI
SNEF 1	K70:I988(7:3)/KrEL[70/30]	95.64	0.247
SNEF 2	K70:I988(7:3)/KrEL[50/50]	30.1	0.236
SNEF 3	K70:I988(7:3)/KrEL[30/70]	22.2	0.161
SNEF 4	K70:I988(7:3)/KrEL[20/80]	18.9	0.200
SNEF 5	M70:CM(7:3)/HCO-30[50/50]	44.3	0.176
SNEF 6	M70:CM (7:3)/TO [70/30]	365.0	0.241
SNEF 7	M70:CM (7:3)/TO [50/50]	403.0	0.257
SNEF 8	M70:CM (7:3)/TO [20/80]	394.8	0.352
SNEF 9	M 812: I 988 (7:3)/T85[40/60]	163.6	0.171
SNEF 10	M812 : I988 (7:3)/T85[60/40]	95.1	0.275

SNEF 11	M812 : I988 (7:3)/T85[90/10]	551.6	0.042
SNEF 12	M812 : I988 (7:3)/KrEL[50/50]	32.4	0.012
SNEF 13	M812 : I988 (7:3)/KrEL[70/30]	62.4	0.194
SNEF 14	M812 : I988 (8:2)/KrEL[90/10]	281.2	0.484
SNEF 15	M812 : I988 (9:1)/KrEL[70/30]	234.3	0.244
SNEF 16	M810:I742(7:3)/CrRH40[50/50]	37.3	0.064
SNEF 17	M810:I742(7:3)/CrRH40[70/30]	59.5	0.047
SNEF 18	M810:I742(9:1)/CrRH40[90/10]	295.7	0.538
SNEF 19	M810:I742(8:2)/CrRH40[90/10]	200.7	0.026

425

426

427 Their sizes showed in Table 3 represent the average particle size. For instance,
428 F15 was dispersed into more than one size group ranged between 50 nm and 6000 nm.
429 Another observation is that a majority SNEFs are forming a viscous jelly when diluted
430 at low water ratio (data not shown). Based on this observation, we evaluated the SNEFs
431 dispersion at a dilution ratio 1:100. SNEFs at this ratio as would have good dispersion
432 stability as well as relatively high drug capacity. Representative intensity graphs are
433 presented in Figure 3.

434

435 Intravesically administered SNEFs will also be exposed to urine in which the salt
436 levels (especially sodium) and pH can vary greatly. In order to evaluate the stability of
437 dispersed SNEFs in such conditions we used normal saline to mimic the maximum
438 sodium levels in the urine. We also dispersed the selected SNEFs in normal saline
439 buffered at different pH similar to possible urine pH levels. Interestingly, only a
440 negligible change was observed in the size of particles after dispersion in normal saline,

441 regardless the pH level (see Table 4 and 5). Whereas all selected SNEFs were appeared
 442 transparent after dispersion.

443

444 **Table 4:** Visual assessment of dispersions formed by selected formulation systems under
 445 self-emulsification conditions in cell culture medium or normal saline.

No	Formulation Name (%W/W)	Particle size (nm) 1/100 dilution (PDI)	
		Medium	0.9% NaCl
SNEF 2	K70:I988(7:3)/KrEL[50/50]	32.39 (0.142)	31.09 (0.210)
SNEF 3	K70:I988(7:3)/KrEL[30/70]	21.74 (0.108)	24.8 (0.228)
SNEF 4	K70:I988(7:3)/KrEL[20/80]	20.49 (0.217)	18.22 (0.112)
SNEF 5	M70:CM(7:3)/HCO-30[50/50]	42.52 (0.145)	43.68 (0.181)
SNEF12	M812 : I988 (7:3)/KrEL[50/50]	32.22 (0.148)	31.46 (0.076)
SNEF13	M812 : I988 (7:3)/KrEL[70/30]	40.69 (0.111)	56.86 (0.107)
SNEF16	M810:I742(7:3)/CrRH40[50/50]	40.08 (0.135)	38.88 (0.208)
SNEF17	M810:I742(7:3)/CrRH40[70/30]	62.7 (0.104)	61.03 (0.021)

446

447

448 **Table 5** Visual assessment of dispersions formed by selected formulation systems under
 449 self-emulsification conditions in 0.9% NaCl at different pH.

450

No	Formulation Name (%W/W)	Particle size (nm) after 1/100 dilution with 0.9% NaCl at different pH (PDI)		
		pH 4	pH 7	pH 8.8
SNEF3	K70:I988(7:3)/KrEL [30/70]	22.91 (0.117)	23.81 (0.216)	18.1 (0.051)
SNEF5	M70:CM(7:3)/HCO-30 [50/50]	46.96 (0.187)	45.22 (0.205)	41.28 (0.218)
SNEF12	M812 : I988 (7:3)/KrEL [50/50]	31.23 (0.081)	31.27 (0.086)	29.29 (0.067)
SNEF13	M812 : I988 (7:3)/KrEL [70/30]	55.14 (0.132)	49.84 (0.08)	50.87 (0.154)
SNEF16	M810:I742(7:3)/CrRH40[50/50]	40.08 (0.135)	38.88 (0.208)	38.88 (0.208)
SNEF17	M810:I742(7:3)/CrRH40[70/30]	61.61 (0.007)	61.01 (0.065)	57.69 (0.01)

451

452

453 Changing the dilution factor from 1:20 to 1:10000 also did not have a major
454 impact on the size of the dispersed SNEFs regardless if the dilution was made gradually
455 by serial dilution or instantly from SNEFs into desired solution volume (Data not
456 shown). The results presented in Table 5 show good stability of some developed SNEFs
457 after dilution with WFI, media or normal saline. Different pH conditions mimicking the
458 pH inside the bladder also had a negligible effect (Table 5). These results adhere well
459 with that reviewed by Anton and Vandamme [25]. This is important as the urine
460 collection in humans is assumed to be 1 ml per minute, which gives a huge dilution of
461 the preparation after intravesical administration of 40 ml of the drug for 60 minutes.

462 2) *SNEF effects on cancer cells monolayers*

463 A) *Evaluation of Toxic effects of unloaded-SNEFs on cancer cells*

464 Trypan blue is known to stain dead (necrotic) cells as it cannot pass through the
465 intact cellular membrane. Many chemicals may cause cell necrosis leading to cell
466 membrane rupture. We here investigated whether or not our developed SNEFs may
467 cause cell necrosis within 60 minutes of exposure. The result in Table 6 shows that
468 SNEFs were not toxic to DLD1 cells after one-hour exposure to selected SNEFs. In
469 addition, SNEF 5, and SNEF 12, were also not toxic on CRL1772, T24, HT1197 and
470 RT4 bladder cancer cells (data not shown). This indicates that SNEFs are relatively safe
471 to be used in intravesical installation settings.

472

473 **Table 6** The toxicity of non-loaded SNEFs 1/100 dilution on DLD1 cells using Trypan
474 Blue. The results represent the mean survival percentages of SNEFs-treated cells
475 compared to the mean percentage of non-treated control.

No	Formulation Name (%W/W)	% survival
SNEF2	K70:I988(7:3)/KrEL[50/50]	96.85
SNEF3	K70:I988(7:3)/KrEL[30/70]	104.66
SNEF4	K70:I988(7:3)/KrEL[20/80]	103.67
SNEF5	M70:CM(7:3)/HCO-30[50/50]	98.77
SNEF12	M812 : I988 (7:3)/KrEL [50/50]	93.51
SNEF13	M812 : I988 (7:3)/KrEL [70/30]	94.91
SNEF16	M810:I742(7:3)/CrRH40[50/50]	96.07

476

477

478 ***B) Chemosensitivity of DLD1 monolayer to paclitaxel following 1 and 96-hour drug***
479 ***exposure***

480 Drugs administered into the bladder by intravesical installation are kept inside
481 the bladder usually for 1 hour. This short time course requires that drugs to be
482 intravesically administered into the bladder should exhibit high anticancer activity at
483 relatively low concentrations. Otherwise, these drugs may not be able to reach the
484 desired pharmacologically active concentrations within the bladder cancer tissue. Figure
485 4 showed the chemosensitivity of DLD1 cells exposed to paclitaxel. As expected, the
486 dose-response curves were shifted to the right when the exposure time was reduced to 1
487 hour. The IC₅₀ values for paclitaxel after 96-hour exposure was 43.9± 14.2 nM. When
488 cells were exposed to paclitaxel for 1 hour the IC₅₀ value was found to be 3.55± 2.75
489 μM. These results indicate that paclitaxel is a good candidate for intravesical treatment
490 of bladder cancer. The intravesically installed drug in the bladder is kept for a maximum
491 2 hours before the patient has to evacuate the bladder by urination. Therefore, great
492 concentrations of drug are required to be intravesically installed in the bladder in order
493 to reach sufficient therapeutic concentrations within the cancer tissue. However,

494 formulators should be aware of using high concentrations of drug as it may be
495 problematic due to the increased side effects and difficulties in preparing the dosage
496 formulations are expected.

497

498 ***C) Chemosensitivity of DLD1 cancer cells exposed paclitaxel-loaded SNEFs***

499 The results in Figure 5 also showed that DLD1 cells were less affected when
500 exposed to Paclitaxel-loaded SNEFs compared to paclitaxel alone. This may warrant
501 further investigation on the enzymatic and non-enzymatic degradation of SNEFs inside
502 the body. Rapid or slow degradation of SNEFs may influence the effectiveness of loaded
503 drugs. Based on these results, however, it could be speculated that SNEFs may improve
504 the penetration of drugs by reducing its metabolism which may give a greater chance of
505 treatments to reach deeper into the tissue [26]. Results also suggest that SNEFs may have
506 a beneficial role in reducing the site of administration toxicity seen by cytotoxic drugs
507 particularly such as paclitaxel [27].

508

509 ***D) Chemosensitivity of MCF7 cancer cells exposed to paclitaxel-loaded SNEFs***

510 In order to further investigate whether the chemosensitivity is modulated by the
511 cell type, SNEFs 5, 12 and 16 were selected for testing their toxicity on MCF7 breast
512 cancer cells. Interestingly, a different pattern was observed (Table 7). Although all
513 selected SNEFs were able to reduce the growth inhibition of paclitaxel after 1h or 96 h
514 exposure, SNEFs effects widely differed from each other. While SNEF 5 has increased
515 the IC₅₀ only by approximately 4 folds, SNEF 16 loading has resulted in almost a loss

516 of activity. This clearly indicates that not only the cell type but also the formulation type
517 itself has a critical role.

518

519 **Table 7** The chemosensitivity of Paclitaxel-loaded SNEFs on MCF7 monolayer cells
520 after exposure to 1 or 96 hours.

No	Formulation Name (%W/W)	MCF7 exposed to Paclitaxel Formulations	
		1 h exposure	96 h exposure
Unloaded	Un-loaded Paclitaxel	11.02 ng/ml	< 0.2 ng/ml
SNEF5	M70:CM(7:3)/HCO-30 [50/50]	40.91 ng/ml	0.75 ng/ml
SNEF12	M812:I988 (7:3)/KrEL [50/50]	281.31 ng/ml	19.16 ng/ml
SNEF16	M810:I742(7:3)/CrRH40[50/50]	34.72 µg/ml	30.38 µg/ml

521

522

523 The results from the chemosensitivity studies indicated that the increase of
524 toxicity after the time of exposure with paclitaxel is somehow freed out of the
525 nanodroplets. This freeing process may be due to SNEFs metabolism or simply by
526 passive diffusion.

527 The observed reduction of paclitaxel toxicity when loaded into SNEFs indicates
528 an efficient encapsulation of the drug. SNEFs may provide a physical barrier between the
529 drug and the cells inhibiting the cellular uptake of the drug. This barrier, however, is
530 expected to be temporary as the drug will diffuse over the time and the SNEFs will
531 degrade during or after the penetration process.

532 All prepared SNEFs were able to enhance the solubility of paclitaxel as no
533 precipitations were observed up to 24 hours after loading. Regardless of the type of
534 ingredients, SNEFs with more oil percentage were able to form larger but less stable

535 nano-emulsions. SNEFs smaller than 100 nm are stable up to 48 hours at room
536 temperature. Better stability of paclitaxel was achieved in paclitaxel-loaded SNEFs for
537 24 hours. The stability of paclitaxel after more than 24 hours of loading is however not
538 investigated. The ability of SNEFs to retain their characteristics (droplet size and
539 homogeneity) when exposed to variable salt and pH conditions similar to that in human
540 bladder indicates that they may be used to utilize the delivery of drugs with poor water
541 solubility such as paclitaxel.

542 **4. Conclusions**

543 All optimized SNEFs are demonstrated to be nontoxic *in vitro*, which mean that
544 they are probably safe to be administered to human. The activity of paclitaxel indicates
545 that the representative SNEFs are a better vehicle for water-insoluble drugs. Therefore,
546 it is logical to conclude that the SNEFs prepared in this study may serve as a delivery
547 tool for drugs insoluble in water.

548 The size and ingredient proportion of SNEFs played a major role in influencing
549 the activity of paclitaxel as the larger SNEFs with higher oil proportion have inhibited
550 the paclitaxel activities more than smaller SNEFs. Variation in the activity patterns
551 between paclitaxel-loaded SNEFs indicates the involvement of SNEFs metabolism as a
552 critical factor influencing the SNEFs drug delivery system. On the other hand, paclitaxel
553 encapsulation may facilitate the penetration due to reduced cellular metabolism the drug.
554 Further investigations are recommended to explore the oils and enzymes that are
555 involved in the metabolism of SNEFs.

556 Finally, SNEF formulations may serve as an easy and low-priced option to formulate
557 poorly-water-soluble drugs. To our best of knowledge, the oil in water SNEFs use in
558 bladder intravesical paclitaxel delivery is a unique idea and has not been evaluated before
559 for intravesical installation in the bladder.

560

561 **5. Author Contributions**

562 **Qasem Abdallah:** Conceptualization, Methodology, Funding acquisition.

563 **Mohsin Kazi:** Formal analysis, Writing- Original draft preparation, Resources.

564 **Mohammad Khaleel:** Data curation, Validation, **Ibrahim Al-Deeb:** Visualization,

565 Investigation. **Abdel-Rahman Nasr:** Writing- Reviewing and Editing. **Roger Phillips:**

566 Supervision.

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571

572 **Declaration of Interest**

573 The author reports no declarations of interest.

574

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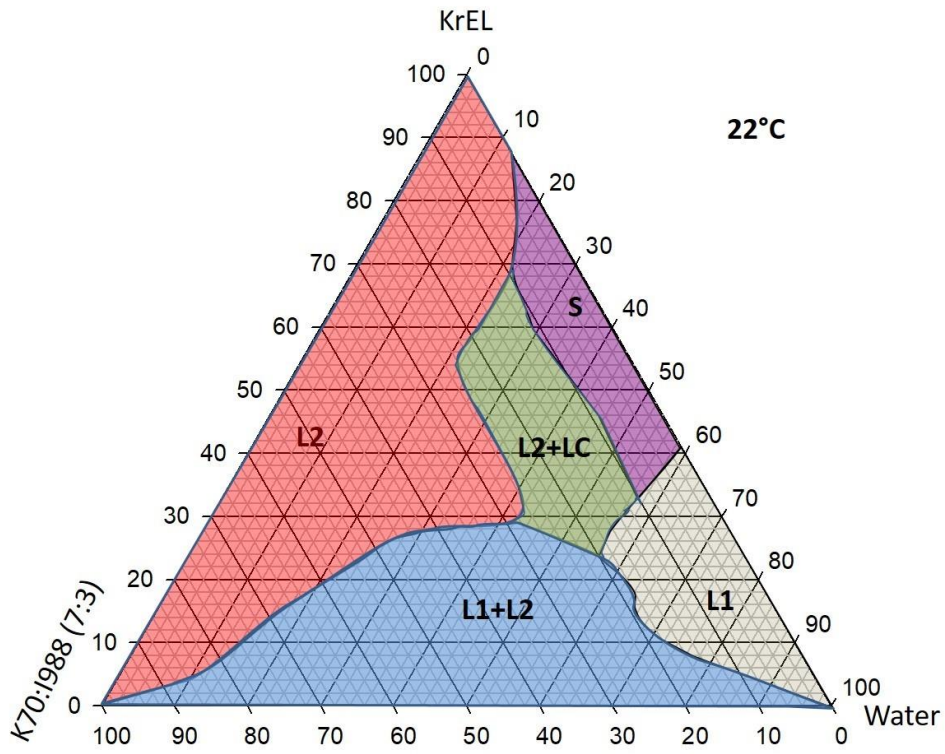
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