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**A molecular understanding of magnesium aluminium silicate – drug, drug - polymer,
magnesium aluminium silicate - polymer nanocomposite complex interactions in
modulating drug release: Towards zero order release**

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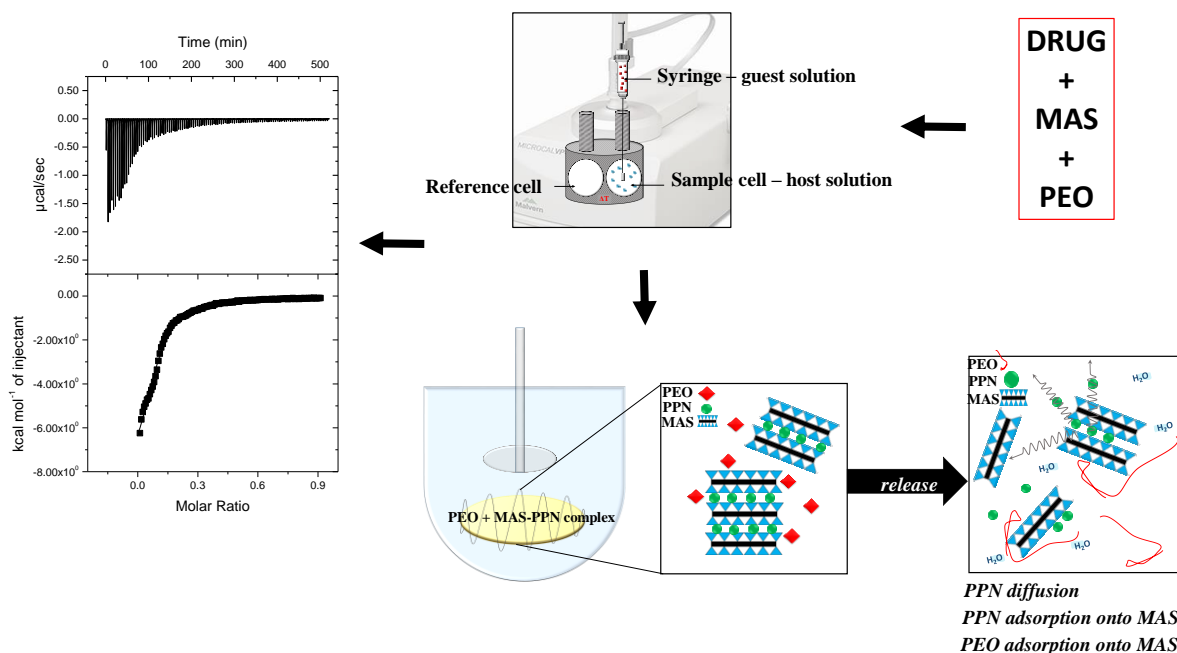
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25 **Graphical abstract**



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28 **Highlights**

29 MAS-PPN complexes fully characterized up to nanometer scale

30 MAS-PPN, MAS-PEO and PPN-MAS-PEO complexation extensively studied using ITC

31 Thermodynamics at molecular level suggests binding process was enthalpy driven and
32 entropically unfavourable

33 Compacts from complexes showed reduced elasticity

34 Controlled release of PPN observed at significantly low polymer levels (5 %)

35 Zero-order release achieved for MAS-PPN complexes at 50% polymer level in acid and buffer
36 media

37

38

39 **Abstract**

40 This study reports the use of ITC in understanding the thermodynamics occurring for a
41 controlled release system in which complexation has been exploited. In this study, a model
42 drug, propranolol hydrochloride (PPN) was complexed with magnesium aluminium silicate
43 (MAS) and these complexes were used in combination with polyethylene oxide (PEO) as a
44 hydrophilic carrier at various concentrations to sustain the release of PPN. DSC, XRPD, ATR-
45 FTIR and SEM/EDX were successfully used in characterising the produced complexes. 2D-
46 SAXS data patterns for MAS and the produced complexes were shown to be symmetric and
47 circular with the particles showing no preferred orientation at the nanometre scale. ITC studies
48 showed differences between PPN adsorption onto MAS compared with PPN adsorption onto a
49 MAS-PEO mixture. At both temperatures studied the binding affinity K_a was greater for the
50 titration of PPN into the MAS-PEO mixture ($5.37E+04 \pm 7.54E+03$ M at 25 °C and $8.63E+04$
51 $\pm 6.11E+03$ M at 37 °C), compared to the affinity obtained upon binding between PPN and
52 MAS as previously reported suggesting a stronger binding with implications for the dissolution
53 process. MAS-PPN complexes with the PEO polymer compacts displayed desired
54 manufacturing and formulation properties for a formulator including, reduced plastic recovery
55 therefore potentially reducing the risk of cracking/splitting and on tooling wear, controlled
56 release of PPN at a significantly low (5 %) polymer level as well as a zero-order release profile
57 (case II transport) using up to 50 % polymer level.

58

59 **Keywords:** magnesium aluminium silicate, propranolol hydrochloride, isothermal titration
60 calorimetry, complexation, small angle X-ray scattering

61 **Abbreviations:** MAS, magnesium aluminium silicate; PPN, propranolol hydrochloride; ITC,
62 isothermal titration calorimetry; SIM, single injection mode; MIM, multiple injection mode;

63 HPLC, high performance liquid chromatography; RSD, relative standard deviation; ICH,
64 International Conference on Harmonisation; LOD, limit of detection; LOQ, limit of
65 quantification; HCl, hydrochloric acid; rpm, rotations per minute; MDT, mean dissolution
66 time; MDR, mean dissolution rate

67

68 **1. Introduction**

69 Minerals are widely used in the pharmaceutical industry and in medicine (Gomes, 2018).
70 Minerals are easily available in nature and are used for their nutritional, cosmetic and
71 therapeutic properties due to their safety and physicochemical properties. Novel practices
72 involve the use of minerals as drug carriers in the formulation of pharmaceutical dosage forms
73 through their capacity to adsorb or reversibly fixate polar compounds onto their structure and
74 form complex dispersions and particles (Carretero and Pozo, 2009). Magnesium aluminium
75 silicate (MAS) is the mineral of interest in this research study. MAS is a mixture of natural
76 smectite montmorillonite and saponite clays which is non-toxic nor irritant. These desirable
77 qualities lends itself for use within drug formulation. MAS has a high surface area with a very
78 good affinity with cationic drugs and polymers such as chitosan and quaternary
79 polymethacrylate (Khlibsuwan and Pongjanyakul, 2016, 2018; Khlibsuwan et al, 2017;
80 Rongthong et al., 2013; 2015). MAS has also been used to improve the physical characteristics
81 of drugs and to control their release (Pongjanyakul, Khunawattanakul and Puttipipatkachorn,
82 2009; Kanjanakawinkul *et al.*, 2013). Several authors have used MAS in various applications
83 such as generating microcomposite films for modified release tablets, generating reinforced
84 alginate beads, minimising sticking in Eudragit RL-based films, wafers, mucosal and buccal
85 delivery (Pongjanyakul et al., 2005; Puttipipatkachorn et al., 2005; Rongthong et al., 2020;
86 Kanjanakawinkul et al., 2013; Pongjanyakul 2013; Adebisi et al., 2015; Okeke and Boateng,

87 2016, 2017). The layered silicate structure of MAS (Figure 1a) is formed of one alumina or
88 magnesia octahedral sheet, sandwiched between two tetrahedral silicate sheets
89 (Kanjankawinkul *et al.*, 2013). The complexes formed as a result of the fixation of polar
90 compounds can modify the release of drugs upon administration, which is desirable for drugs
91 having a short half-life and require frequent administration to maintain adequate drug plasma
92 levels that are used to treat chronic conditions (Rojtanatanya and Pongjanyakul, 2010).

93 Propranolol hydrochloride (PPN) (Figure 1b) used to treat angina pectoris, myocardial
94 infarction and high blood pressure is an antagonist at the β -adrenergic receptors of the heart (β -
95 blockers) (Patrick, 2001; Rojtanatanya and Pongjanyakul, 2010) and is the model drug of
96 choice in the study to form complexes with MAS as it has a short half-life of 3.9 h and therefore
97 needs to be administered frequently (2-3 times/day) in order to maintain adequate drug plasma
98 levels (Datta, 2013). The physicochemical characteristics of the formed complexes are not
99 entirely understood and therefore understanding them is essential to establishing a successful
100 formulation. Recently, Totea *et al.* exploited isothermal calorimetry in understanding the
101 binding process of MAS to diltiazem hydrochloride and propranolol hydrochloride (Totea *et al.*
102 *et al.*, 2019, 2020). The authors utilised ITC in understanding the thermodynamics of the binding
103 process. ITC is a powerful and well-established biophysical technique that allows the complete
104 characterisation of the thermodynamics of an interaction in just a single experiment (MicroCal,
105 1998). The technique measures the formation and dissociation of molecular complexes
106 (Ladbury and Chowdhry, 1996; Penn and Warren, 2009; Le *et al.*, 2013) and as such is suitable
107 in understanding the formed complexes in this study. Callies and Hernández Daranas, 2016
108 have reported the use of ITC to provide accurate and important information about the
109 mechanisms of binding of molecules (Callies and Hernández Daranas, 2016). From the
110 resulting data, binding site size, affinity (K_a), changes in enthalpy (ΔH), entropy (ΔS), Gibbs
111 free energy (ΔG) and stoichiometry (N) of the binding events can be determined through the

112 fitting of independent binding sites, sequential binding sites, competitive binding and
113 dissociation models (Moore *et al.*, 2016). For a simple single binding site model, the non-linear
114 least squares fit of the data can be used to determine K_a and ΔH , followed by the calculation of
115 ΔG and ΔS using the known relationship between the van't Hoff equation and change in Gibbs
116 free energy:

$$117 \quad \Delta G = \Delta H - T\Delta S \quad \text{Equation 1}$$

$$118 \quad = -RT\ln K_a \quad \text{Equation 2}$$

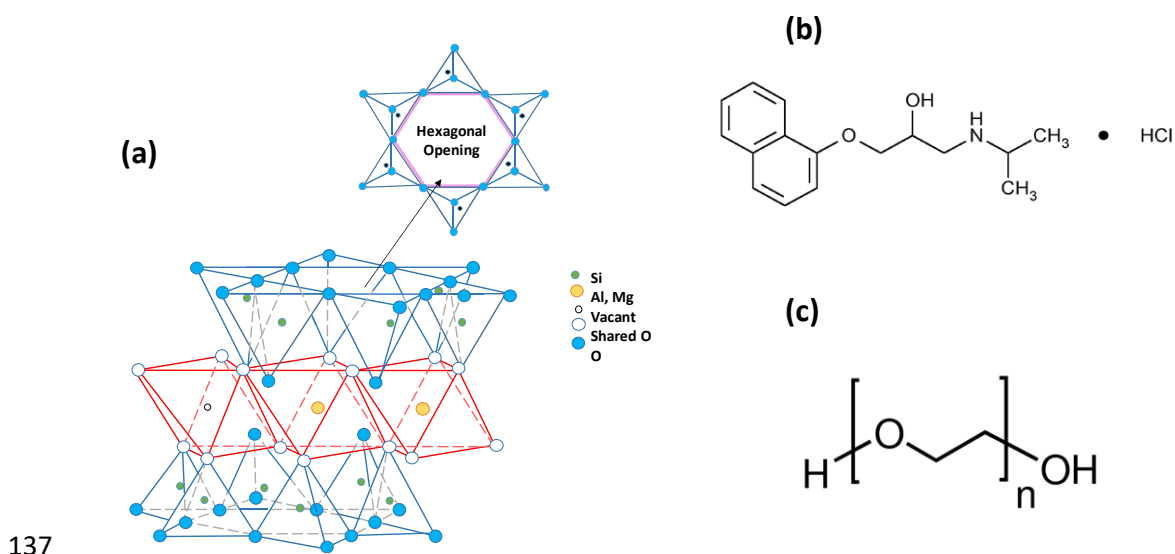
119 where T is the absolute temperature in Kelvin and R is the gas constant.

120 ΔC_p is determined from the temperature dependence of ΔH using Equation 3 (MicroCal, 1998;
121 Le *et al.*, 2013):

$$122 \quad \Delta C_p = \frac{(\Delta H_{T_2} - \Delta H_{T_1})}{T_2 - T_1} \quad \text{Equation 3}$$

123 The purpose of the presented research was therefore to understand the process of adsorption of
124 such a drug on MAS and the effects of this process on extending the release of such drugs.
125 Furthermore a hydrophilic polymer, polyethylene oxide (PEO) (Figure 1c) is used in
126 combination with the formulated clay-drug complexes in an attempt to extend drug release.
127 Polymers are of high importance to the pharmaceutical industry as they offer a wide variety of
128 physical and chemical characteristics which can be easily manipulated and are extensively used
129 in the formulation of tablets as to improve bioavailability and control drug release (Sinko,
130 2010). The ability to extend drug release is also essential in improving patient compliance,
131 hence the popularity of polymers and the rapid advances in the pharmaceutical industry since
132 their introduction. Potential complexations of the complexes is explored to explain
133 observations from the dissolution process at the molecular level. The use of polymers in
134 combination with clay-drug complex particles in the present study offers an in-depth

135 understanding of controlling drug release using a combined system consisting of a natural drug
136 carrier in a polymeric matrix.



137

138 Figure 1. Chemical structures of materials used (a) Alumina or magnesia sheet composed of
139 octahedron structures joined together and sandwiched between two silica sheets composed of
140 silica tetrahedron structures joined together (adapted from (Vanderbilt Minerals, 2014b)), (b)
141 propranolol hydrochloride, (c) polyethylene oxide (PEO).

142 2. Experimental

143 2.1. Materials

144 Veegum F EP[®] or Magnesium Aluminium Silicate (MAS) was a kind gift from R.T.Vanderbilt
145 Company, Norwalk, CT (USA). Its composition was confirmed as previously reported by
146 Totea et al., 2019. The authors confirmed the material complied with the European
147 Pharmacopoeia monograph for MAS and is indicated for use as a dry excipient in pressed
148 powders and in direct compression tablets (Vanderbilt Minerals, 2014a). Propranolol
149 hydrochloride (PPN) was purchased from TCI (Tokyo Chemical Industry, Tokyo).
150 Polyethylene oxide (PEO, Polyox WSR 301) MW 4,000,000 was a kind gift from Colorcon,
151 UK.

152 Acetonitrile (HPLC grade), sodium phosphate dibasic dihydrate, 99+ % (HPLC grade), sodium
153 hydroxide and 2 M hydrochloric acid were purchased from Fisher Scientific (UK).

154 2.2. *Methods*

155 2.2.1. *Formulation of MAS-PPN complex particles*

156 The methodology of Totea et al. 2019 was used in the formulation of MAS-PPN complex
157 dispersions and particles. A 2 % w/v MAS dispersion and PPN solution were separately
158 prepared under continuous stirring for 24 h, (25 °C and 500 rpm). A 2 M HCl or 2 M NaOH
159 was used to further adjust the pH of the MAS and PPN to pH 5. For the initial drug loading,
160 MAS dispersion was combined with the PPN solution prepared (1:1 w/w), and the obtained
161 flocculated mixture was incubated at 37 °C with shaking for 24 h. The complex dispersion
162 obtained was then filtered using a Buchner filtration apparatus with vacuum and further
163 transferred into a clean beaker.

164 For the second drug loading, the collected complexes following filtration were redispersed into
165 a fresh drug solution and incubated at 37 °C with shaking for 24 h. The flocculated complexes
166 obtained were then filtered and dried in the oven at 50 °C for 48 h. The double loaded MAS–
167 PPN complex dispersions obtained from the drying process were milled (Retsch® PM 100 Ball
168 Mill set at 350 rpm) and the particle size fraction of 65 –123 µm stored in a glass vial and used
169 as needed.

170

171 2.2.2. *Characterisation of MAS-PPN complexes*

172 2.2.2.1. *Attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR)*

173 ATR-FTIR (Smart Orbit ATR-FTIR) was used to characterise potential molecular interactions
174 between MAS and PPN using diamond as the ATR crystal scanned from 4000 to 400 cm⁻¹.

175

176 2.2.2.2. *Scanning electron microscopy/ energy dispersive X-ray spectroscopy (SEM/EDX)*

177 SEM (QUANTA FEG 250 microscope) was conducted to elucidate the surface morphology of
178 the samples with the combination of EDX to aid in the detection of potential changes that may
179 have occurred following the complexation process. PPN, MAS or the complex was mounted
180 on a metal stub with double-sided adhesive tape and sputter coated with a thin layer of gold.
181 The micrographs of the samples studied were taken at various magnifications at 20 kV.

182

183 2.2.2.3. *Differential scanning calorimetry (DSC)*

184 1-10 mg of PPN, MAS or the complex was placed onto a 40 μ L aluminium crucible with the
185 lid hermetically sealed and heated between 25 and 500 $^{\circ}$ C, at a rate of 10 $^{\circ}$ C/min using a DSC
186 instrument running under nitrogen gas (Mettler Toledo, Switzerland).

187

188 2.2.2.4. *X-ray powder diffraction (XRPD)*

189 Analysis of the PPN, MAS and complex was performed at an angular range 2.5 - 70 $^{\circ}$ (2θ) and
190 a step angle of 0.02 $^{\circ}$ (2θ) s^{-1} using a D2 PHASER (BRUKER). The X-ray source was generated
191 as a Cu radiation at 30 kV and 10 mA.

192

193 2.2.2.5. *Small angle X-ray scattering (SAXS)*

194 Small Angle X-Ray Scattering (SAXS) was used to study the morphological changes of MAS
195 upon the formation of flocculates following the adsorption of PPN onto MAS. The SAXS
196 intensity is determined as a function of the scattering vector:

$$197 \quad I(q) = N_p(1/q) \times n_e^2(1/q) \quad \text{Equation 4}$$

198 where $N_p(1/q)$ is the number of scattering elements in the exposed volume, and $n_e^2(1/q)$ is the
199 square of the electron number in the exposed volume (Odo et al., 2015). The scattering vector
200 is given by the following equation:

$$201 \quad q = 4\pi \sin(\theta/2)/\lambda \quad \text{Equation 5}$$

202 where θ is the scattering angle and λ is the X-ray beam wavelength.

203 Two-dimensional (2D-SAXS) measurements on MAS and the flocculated complexes prepared
204 were performed on a Nanostar system (Bruker, Germany). Cu K α radiation ($\lambda = 0.1542$ nm)
205 was produced to by a microfocus X-ray generator which generated a parallel X-ray beam,
206 having an approximate 0.9 mm diameter spot size. The entire beam path was evacuated to
207 minimise the scattering of air. The power setting used was 50.0 kV and 600 μ A and sample to
208 detector distance was 1.0765 m. The sensitivity of the detector was calibrated using a silver
209 behenate reference standard.

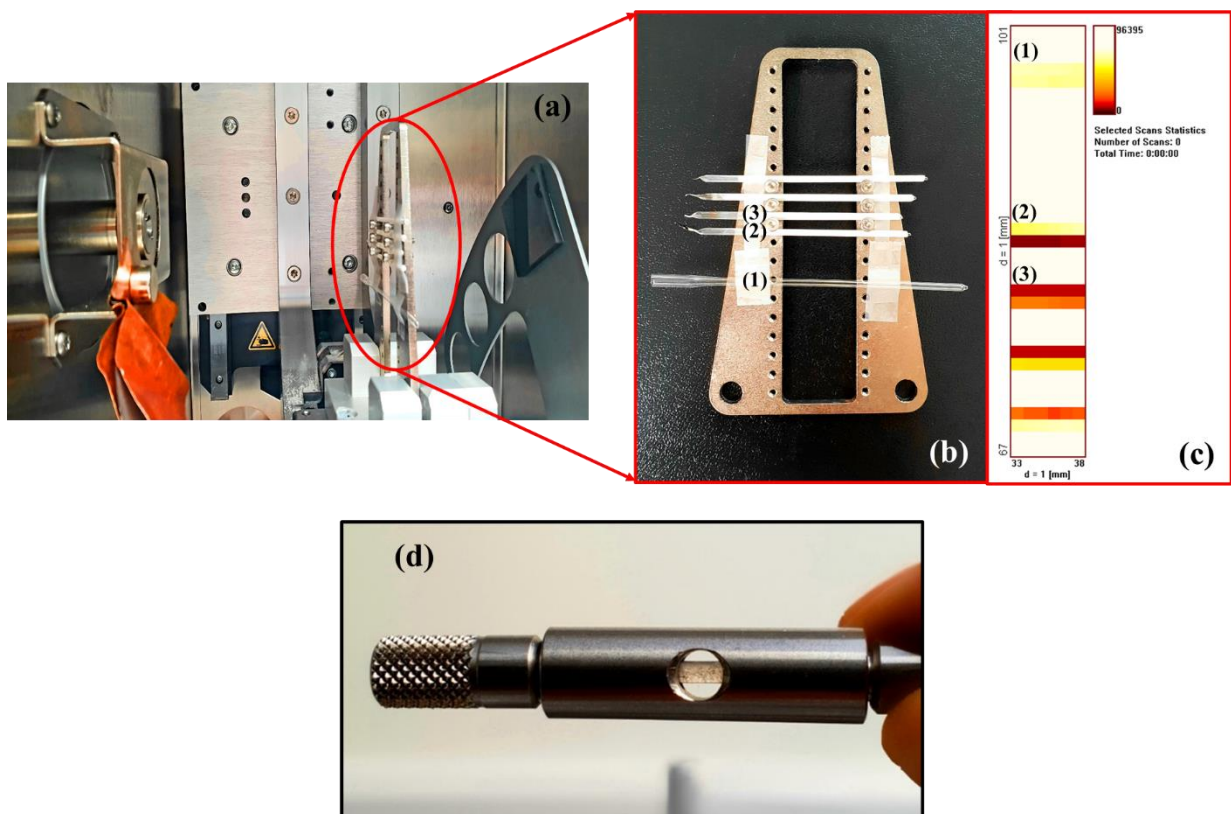
210 *Solid-state analysis*: MAS and double drug loaded complexes (particle size 63-125 μ m), as
211 prepared and described in *section 2.2.1*, were placed in disposable borosilicate glass capillary
212 tubes (diameter 2.0 mm) and sealed at both ends (Figure 2). 2D-SAXS patterns were collected
213 for all the samples and for the background using an acquisition time of 1000 sec. Transmission
214 was also calculated using a glassy carbon filter to correct for the probability of sample
215 absorption using Equation 6.

$$216 \quad t = \frac{I_{X+GC} - t_{GC} \cdot I_X}{I_{GC} - t_{GC} \cdot I_0} \quad \text{Equation 6.}$$

217 where t_{GC} is glassy carbon filter transmission, I_X is the number of counts collected from sample,
218 I_{X+GC} is the number of counts from sample with glassy carbon filter, I_{GC} is the number of counts
219 with glassy carbon filter and I_0 is the number of counts from empty chamber.

220

221 *Liquid-state analysis:* MAS and 1:1 v/v MAS-drug complex dispersions were prepared using
222 a MAS dispersion concentration of 0.25 % w/v. MAS dispersion and flocculated MAS-drug
223 complexes were collected using a micropipette and placed in a metal cell fitted with a glass
224 capillary (Figure 2d), having a 1 mm diameter. Nanography was used to determine the
225 coordinates of the sample holder. Data acquisition time was 1000 s and the background
226 scattering (i.e. ultra-pure water at pH 5) was subtracted from each sample prior to data analysis.



227

228

229 Figure 2. SAXS analysis setup showing: (a) Nanostar chamber with the sample holder, (b)
230 sample holder image showing empty borosilicate glass capillary tube (1) and filled capillary
231 tubes with MAS (2) and MAS-PPN (3) in powder form, particle size 63 – 125 μm , (c)
232 nanography to detect sample coordinates for the analysis showing capillaries (1) to (3), (d)
233 SAXS analysis setup showing MAS-drug flocculated complex dispersion placed into a metal
234 cell fitted with a glass capillary sealed at both ends (1 mm diameter)

235 *2.2.3. Drug content analysis from the MAS-PPN complexes using HPLC*

236 Drug content within the MAS-PPN complexes was determined by dispersing 50 mg of the
237 prepared complex particles in 100 mL of a 2 M HCl, ultra-pure water or pH 6.8 phosphate
238 buffer under continuous stirring for 24 h, at 25 °C and 700 rpm and filtered using 0.2 µm syringe
239 filters. The clear supernatant was analysed using reversed phase high performance liquid
240 chromatography (Shimadzu HPLC, Japan), equipped with an SPD-20AV UV-Vis detector,
241 using an XTerra® MS C18 150 mm × 4.6 mm × 3.5 µm column. The mobile phase was prepared
242 using acetonitrile and 0.01 M sodium phosphate dibasic dihydrate (pH 3.5) at a ratio of 30:70
243 v/v. The flow rate of the mobile phase was set at 1 mL/min with a detection wavelength of 230
244 nm.

245

246 *2.4. Tablet preparation and bulk compaction behaviour*

247 The powder mixture required to make the tablets containing MAS-drug complex was prepared
248 by mixing the amount of MAS-PPN complex equivalent to a set amount of active ingredient
249 (i.e. 40 mg PPN) with PEO at a ratio of 1:1 w/w. The powder mixture required to make the
250 tablets containing MAS-drug physical mixture and PEO was prepared using the same amounts
251 of powder as in the tablets containing MAS-drug complex particles (equivalent to a set amount
252 of active ingredient) (Table 1). The powder combination was mixed in a 3-D shaker/mixer
253 Turbula T2F (Stort Eskens Ltd., UK) for 10 min. No diluent was therefore used within the
254 matrices, hence, the compacts had different weights. The powder mixture was poured into the
255 die and tablets were formed by applying a set force of 10 kN. A pre-set maximum force was
256 set at 16 kN. During the compression stage, the displacement was measured accurately through
257 a short-travel extensometer by the movement of the cross head of the machine which was set
258 at 3 mm min⁻¹ while the lower punch remained fixed. After the set force was reached, the upper-

259 punch was allowed to retract at a speed of 1 mm min⁻¹. Force and displacement as functions of
 260 time (F(t), respectively x(t)) were recorded automatically during the experiments. The recorded
 261 displacement was corrected using the apparent displacement under load of the instrument that
 262 was determined by performing compaction as described above on the empty die. Once the
 263 process finished and the force applied returned to zero, the specimens were ejected from the
 264 die using the same instrument set at a speed of 10 mm/min. The weight, as well as diameter
 265 and height of the specimens were recorded immediately.

266 The effects of compaction force on the materials used was studied as the average pressure
 267 across the upper punch P(t) vs. the relative density of the compacted samples (ρ_{rel}) (Equations
 268 7 and 8) (Laity et al., 2015).

$$269 \quad P(t) = F(t)/\pi R^2 \quad \text{Equation 7}$$

$$270 \quad \rho_{rel}(t) = \frac{m}{\rho \pi R^2 [h_0 - x(t)]} \quad \text{Equation 8}$$

271 where h_0 is the filling depth of the powder bed and m is the mass of the tablet measured after
 272 ejection from dye. Results were compared in each case between tablets containing MAS-drug
 273 complexes and tablets containing MAS-drug physical mixture.

274 Table 1. Formulation codes for tablets prepared using MAS-PPN complexes or MAS-PPN
 275 physical mixture (both containing an equivalent of 30 mg PPN), and PEO in different amounts
 276 (50 %, 30 %, 10 % and 5 % w/w) and their powder and compact properties (n = 3).

Formulation	Polymer amount in formulation (% w/w)	Formulation code	True density (kg/m ³)	Relative density				Porosity (%)
				Uncompacted	At maximum pressure	After ejection	Elastic recovery (%)	
MAS-PPN (phys. mix) + PEO	5	PEO5%_PM	1945.93 ± 1.45	0.37 ± 0.00	0.83 ± 0.01	0.75 ± 0.01	9.10 ± 1.14	18.37 ± 1.20
	10	PEO10%_PM	1880.35 ± 5.78	0.36 ± 0.01	0.81 ± 0.02	0.73 ± 0.01	9.18 ± 0.48	16.07 ± 0.62

	30	PEO30%_PM	1673.43 ± 2.99	0.42 ± 0.01	0.88 ± 0.00	0.83 ± 0.01	6.38 ± 0.13	11.84 ± 0.49
	50	PEO50%_PM	1507.83 ± 1.86	0.44 ± 0.01	0.92 ± 0.00	0.86 ± 0.00	6.17 ± 0.48	7.89 ± 0.44
MAS-PPN (complex) + PEO	5	PEO5%_C	1990.50 ± 22.71	0.35 ± 0.01	0.80 ± 0.00	0.75 ± 0.01	6.99 ± 0.54	22.50 ± 0.55
	10	PEO10%_C	1925.27 ± 3.92	0.35 ± 0.01	0.79 ± 0.00	0.75 ± 0.01	5.95 ± 0.78	20.19 ± 0.31
	30	PEO30%_C	1742.86 ± 12.38	0.40 ± 0.00	0.87 ± 0.00	0.83 ± 0.00	5.18 ± 0.27	12.64 ± 0.29
	50	PEO50%_C	1515.72 ± 2.22	0.47 ± 0.00	0.85 ± 0.00	0.81 ± 0.00	4.23 ± 0.25	8.43 ± 0.96

277

278 2.4.1. True density and porosity determination

279 The true density of the powder mixtures used to make tablets was determined by helium
 280 pycnometry on an AccuPyc II 1340 (Micrometrics, UK). The determination of the true density
 281 of the formulations (Table 1), along with the tablet weight, height and diameter allowed for the
 282 determination of tablet porosity (Equation 9).

$$283 \text{ Tablet porosity} = \left[1 - \frac{\text{Tablet weight/ Tablet volume}}{\text{True density of powder}} \right] \times 100 \quad \text{Equation 9}$$

284 Cohesive strength of the tablets prepared (σ_C) was measured based on the maximum force
 285 required for diametral crushing (F_C) (hardness of the tablets) using Equation 10.

$$286 \sigma_C = \frac{F_C}{\pi R_s h_s} \quad \text{Equation 10}$$

287 where R_s and h_s represent the radius and the height of the tablet immediately before crushing.

288

289 2.5. Dissolution testing

290 The *in vitro* release characteristics of the tablets prepared were analysed using a USP
 291 dissolution apparatus with sinkers (paddle method; PT-DT70 Pharma Test, Germany). Tablets

292 were tested in 900 mL of 0.1 M hydrochloric acid (pH 1.2) to simulate the gastric fluid for up
293 to 9 h or 900 mL of phosphate buffer (pH 6.8) to simulate the intestinal fluid for up to 9 h. The
294 rotation speed of the paddles was 100 rpm. Samples were collected automatically at suitable
295 intervals and analysed at a wavelength of 290 nm in each case by the UV-Vis detector attached
296 to the dissolution instrument. All experiments were performed in triplicate for reproducibility.

297 *2.5.1. Kinetics of drug release and dissolution parameters*

298 The dissolution profiles obtained were described by the Peppas model also known as power
299 law and was used to determine the release mechanism based on the value of the diffusional
300 exponent (Equation 11) (Dash et al., 2010; Siah-Shadbad et al., 2011; Romero et al., 2018).

$$301 \quad Q = kt^n \quad \text{Equation 11}$$

302 where Q is the percentage of drug released at time t , k is the release rate constant which
303 describes the structure and geometry of the tablet, and n is the diffusional exponent which
304 indicated the mechanism of drug release (Dash et al., 2010; Siah-Shadbad et al., 2011; Romero
305 et al., 2018). A value of n lower than 0.45 calculated from drug release of cylindrical tablets
306 indicates a Fickian diffusion mechanism. A value of n higher than 0.89 shows that the
307 dissolution process was controlled by erosion and that the rate of drug release was independent
308 of time, i.e. 'zero-order' release. An anomalous transport (or non-Fickian) is considered when
309 n was between 0.45 and 0.89 which suggests that drug release is governed by both diffusion
310 and erosion (Ritger and Peppas, 1987; Costa, Manuel and Lobo, 2001; Siah-Shadbad et al.,
311 2011).

312

313 The efficiency on retarding drug release using PEO combined with both MAS-PPN complexes
314 and physical mixtures were compared using the following equations describing the mean
315 dissolution time (MDT) and the dissolution efficiency (DE – area under the dissolution curve

316 up to a certain time t) (Equations 12 and 13) (Costa, Manuel and Lobo, 2001; Siah-Shadbad
317 et al., 2011).

$$318 \quad MDT = \frac{\sum_{j=1}^n t \Delta M_j}{\sum_{j=1}^n \Delta M_j} \quad \text{Equation 12}$$

319 where j is the sample number, n is the number of dissolution sample times, t is the time at
320 midpoint between t and $t-1$ (that can be calculated with the formula $(t + t - 1)/2$) and ΔM_j is
321 the additional amount of drug that dissolved between t and $t-1$ (Costa, Manuel and Lobo, 2001;
322 Siah-Shadbad et al., 2011).

$$323 \quad DE = \frac{\int_0^T Y \times dt}{Y_{100} \times T} \times 100\% \quad \text{Equation 13}$$

324 where Y is the percent of drug release at time t and Y_{100} represents 100 % drug release (Costa,
325 Manuel and Lobo, 2001; Siah-Shadbad et al., 2011).

326

327 2.6. Calorimetric binding studies - effects of PEO on the MAS-PPN interactions

328 Calorimetric single and multiple injection mode (SIM and MIM) binding studies on PPN and
329 MAS were carried out exactly as detailed in Totea et al., 2019 using a VP-ITC micro
330 calorimeter (Malvern Panalytical, UK) to allow the determination of the association constant
331 K_a and the thermodynamic parameters ΔH , ΔG and ΔS .

332 To ascertain the effects of PEO on the MAS-PPN complexes or how possible interactions
333 between, drug, MAS and PEO could inform the choice of formulation as well as the dissolution
334 process, calorimetric MIM binding studies were carried out on the VP-ITC instrument
335 (calibrated to ensure the instrument was within acceptable limits (Baranauskiene *et al.*, 2009))
336 at pH 5 and at two different temperatures (25 °C and 37 °C). A high-gain feedback mode whilst
337 applying a reference power of 20 $\mu\text{cal s}^{-1}$ under stirring at 307 rpm was used. The real-time
338 binding isotherm was studied in 29-120 injections of 2-10 μL each into the sample cell every

339 260-550 s. PEO dispersions were prepared separately using purified water under stirring at 500
 340 rpm (25 °C) for 24 h. Each of the prepared dispersions was separately combined with one MAS
 341 dispersion and the resultant MAS-polymer complex was used in the sample cell. Fresh drug
 342 solutions of PPN were then added into the sample cell containing the MAS-polymer complex
 343 (Table 2). All experiments were conducted in triplicate and the results analysed using Origin
 344 7.0 (Microcal, Inc) software.

345 Table 2. Materials and parameters used in ITC SIM and MIM experiments (n = 3).

Cell		Syringe		Number of injections	Injection volume (µL)	Spacing (sec)	Reference power (µcal/sec)
Clay/polymer	Concentration (% w/v)	Drug/polymer	Concentration (% w/v)				
MAS*	0.037	PPN	0.15	1	150	2500	20
MAS*	0.037	PPN	0.15	120	2	260	20
MAS	0.037	PEO	0.037	30	10	1500	15
PEO	0.037	PPN	0.15	30	10	1500	15
MAS-PEO	0.037 MAS 0.037 PEO	PPN	0.15	120	2	260	15

346 Note: * Results reported in Totea et al., 2019

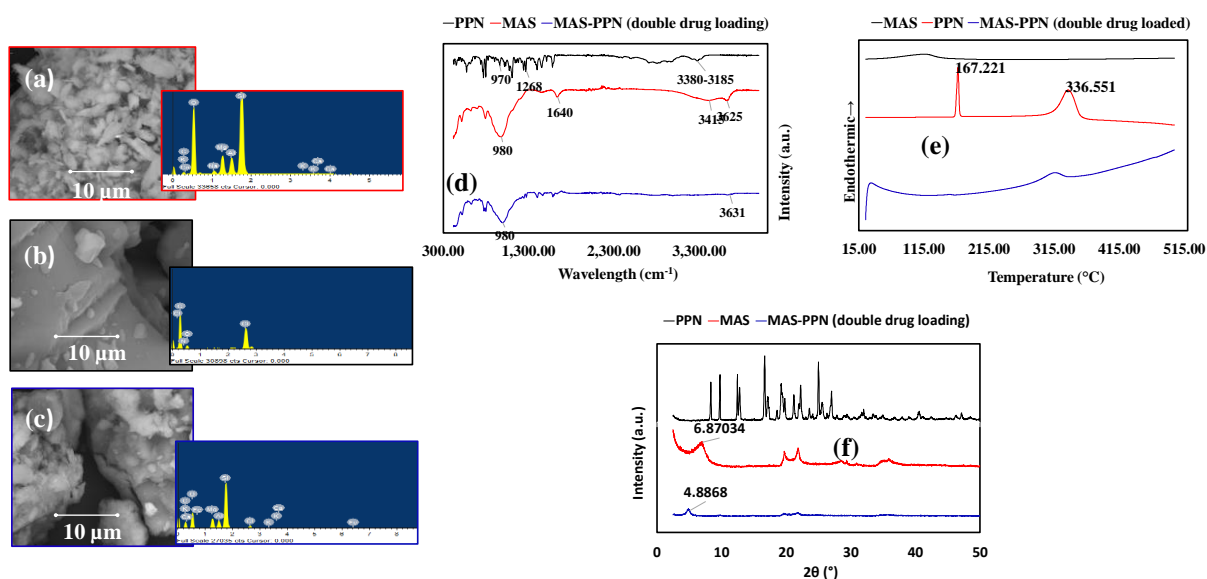
347

348 3. Results and discussion

349 3.1. Solid state characterisation (SEM/EDX, ATR-FTIR, DSC and XRPD)

350 SEM/EDX confirmed MAS particles to be aggregated together with individual flakes observed
 351 on its surfaces (Figure 3a-c) with the presence of high amounts of silicon, aluminium and
 352 magnesium, as well as traces amounts of iron, calcium, sodium, titanium and potassium
 353 (Bandyopadhyay and Bose, 2013; Rapacz-Kmita et al., 2010; Pongjanyakul and Rojtanatanya,
 354 2012; Kanjanakawinkul et al., 2013). The analysis of the MAS-PPN complex particles showed
 355 changes in the microstructural properties of the MAS to occur, which may be linked to the
 356 ability of the MAS-PPN complexes to control drug release (Rapacz-Kmita et al., 2010).

357 The presence of a hydroxyl group at 3625 cm^{-1} and stretching at 980 cm^{-1} were assigned to Si-
 358 OH and the Si-O-Si respectively (Rojtanatanya and Pongjanyakul, 2010). The broad O-H
 359 stretching of water residues had a peak at 3415 cm^{-1} and the hydroxyl group bending of water
 360 crystallization had a sharp peak observed at 1640 cm^{-1} (Figure 3d) (Rojtanatanya and
 361 Pongjanyakul, 2010; Totea et al., 2020). Distinctive peaks occurring between 3380 and 3185
 362 cm^{-1} for PPN were attributed to the secondary hydroxyl and amine groups within its structure
 363 whereas the aryl alkyl ether stretching was reported at 1268 cm^{-1} . The naphthalene group
 364 belonging to PPN was observed at 970 cm^{-1} (Figure 3d) (Rojtanatanya and Pongjanyakul,
 365 2010). With regards to the MAS – PPN complexes, the peak observed as the hydroxyl
 366 stretching belonging to Si-OH became smaller and shifted to a lower wavelength of 3631 cm^{-1}
 367 (Figure 3d). The peaks attributed to the secondary hydroxyl and amine groups of the PPN
 368 completely disappeared however, the Si-O-Si stretching at 980 cm^{-1} belonging to the clay was
 369 still present. The PPN adsorption onto MAS is via hydrogen bond formation between the
 370 silanol groups of MAS with the amine and or hydroxyl groups of PPN (Rojtanatanya and
 371 Pongjanyakul, 2010).



372

373 Figure 3. Solid-state analysis of the (a) SEM/EDX surface characterisation of MAS, (b) PPN,
374 (c) MAS-PPN complex and their accompanying atomic distribution profiles (d) ATR-FTIR
375 spectra of PPN, MAS and MAS-PPN complex particles, (e) DSC thermograph of PPN, MAS
376 and MAS-PPN complex particles, (f) XRPD patterns for PPN, MAS and MAS-PPN complex
377 particles

378 DSC thermographs were obtained for MAS, PPN and the MAS-PPN complexes to study their
379 crystallinity. The thermograph of MAS showed the presence of a broad endothermic peak at
380 115 °C (Figure 3e) that occurred following dehydration, as the water residues evaporated. The
381 thermograph of PPN revealed a sharp endothermic peak at ~ 167 °C indicating its melting point
382 (Pongjanyakul and Rongthong, 2010; Rojtanatanya and Pongjanyakul, 2010). This was
383 followed by another broad endothermic peak at ~ 337 °C representing the decomposition of
384 PPN (Figure 3e). The MAS-PPN complex particles prepared were shown to be amorphous and
385 the absence of the melting point of PPN on the thermograph confirmed that the drug was
386 molecularly dispersed in the clay (Rojtanatanya and Pongjanyakul, 2010).

387 Smectite clays are often called ‘expandable clays’, as they have the ability to absorb water.
388 Their typical basal spacing is 14Å (1.4nm), but following water absorption this may vary
389 between 9.6Å (completely dried) to 21.4Å (Tucker, 2001). Basal spacing also indicates the
390 capacity of drug molecules to intercalate into the silicate layers of the clay (Sinha et al., 2002).
391 MAS in Figure 3f showed a distinctive reflection at 6.87034° (2θ). This was representative of
392 the thickness of the basal spacing between platelets and was calculated using Bragg’s Law (first
393 order of reflection, n=1) as being 1.28nm, value similar to those suggested in literature
394 (Pongjanyakul, Khunawattanakul and Puttipipatkachorn, 2009; Rojtanatanya and
395 Pongjanyakul, 2010). Figure 3f confirmed PPN to be crystalline in nature with strong
396 distinctive intensities at 2-theta values at 8.3 °, 9.7 °, 12.4 °, 12.7 °, 16.7 °, 16.6 °, 17.2 °, 22.0 °
397 and 25.0 ° as previously reported (Shankland and Knight, 1996; Fernandes et al., 2019). The

398 prepared MAS-PPN complexes suggested the PPN drug to be molecularly dispersed within the
399 MAS due to the absence of the crystalline peaks of PPN. The reflection at 6.87034° (2θ)
400 representing the thickness of the silicate layer in the MAS sample was shifted to the left in the
401 diffractogram of the complexes prepared and had a different intensity, as the basal spacing
402 increased following intercalation of drug particles into the clay platelets, which further
403 increased stacking of the intercalated silicate layers (Sinha et al., 2002; Rojtanatanya and
404 Pongjanyakul, 2010). The increase in basal spacing calculated using Bragg's Law was 18.1 nm
405 for MAS-PPN complexes (18.08 Å for MAS-PPN complexes).

406

407 *3.2. Small angle X-ray scattering (SAXS)*

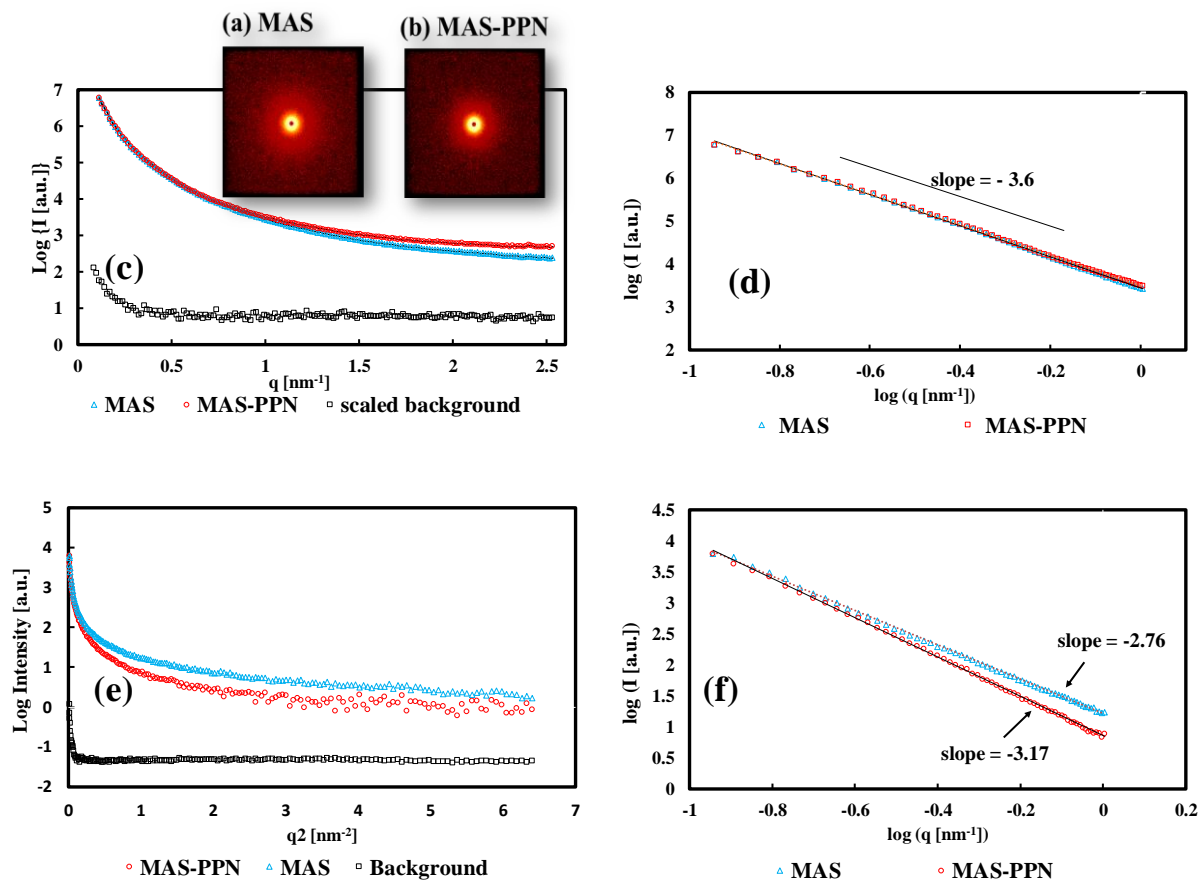
408 2D-SAXS data patterns for MAS and MAS-PPN complexes were shown to be symmetric and
409 circular, which was due to the analysis of the compounds in powder form (randomly orientated
410 particles). The particles therefore showed no preferred orientation at the nanometre scale. The
411 intensity plots of both MAS and MAS-PPN showing $\text{Log}\{I(\text{a.u.})\}$ vs. q [nm^{-1}] had a smooth
412 curve, showing the intensity decreasing from $q \approx 0.099 \text{ nm}^{-1}$ (at $2\theta = 0.14^\circ$) at the edge of the
413 beam stop, to $q = 2.275 \text{ nm}^{-1}$ (at $2\theta = 3.20^\circ$) at the peripheral limit of the detector (Figure 4).
414 No peaks or other specific features were observed. The scattering intensity of the background
415 was found to be minimal in comparison to the sample scattering intensity (Figure 4). The
416 background subtraction was therefore considered unnecessary. However, data was corrected
417 for transmission through the calculation on the transmission factor using glassy carbon.
418 Analysis of the data in the form of a double logarithmic plot of intensity ($\log I$ [a.u.]) vs. the
419 scattering vector ($\log\{q$ [nm^{-1}]) for both MAS and MAS-PPN complexes followed straight
420 lines with no peaks or other specific features (Figure 4d). The straight lines indicate power-law
421 scattering behaviour, which can be described using Equation 14.

422 $I(q) = I_0 q^{-\alpha}$

Equation 14

423 where I_0 and α are constants. I_0 depends on multiple factors such as collection time, incident
 424 X-ray intensity, strength of scattering etc. and α is the power-law constant that can be calculated
 425 from the slope of the linear part of the double-logarithmic plot of intensity vs. the scattering
 426 vector. A slope of -3.63 was obtained for MAS, and a slope of -3.57 was obtained for the
 427 MAS-PPN complex particles.

428



429

430 Figure 4. Typical SAXS data for samples in powder form: (a and b) 2D-SAXS patterns for
 431 MAS and MAS-PPN complexes showing the centre of the beam stop, (c) 1D-SAXS intensity
 432 curves for MAS and MAS-PPN complexes (open symbols showing uncorrected data and line
 433 showing data after scaled background subtraction), and scaled background (open black square

434 symbols), (d) double-logarithmic plot of intensity vs. the scattering vector for MAS and MAS-
435 PPN complexes in powder form (particle size 63 – 125 μm), showing the slope of the linear
436 part for the data which allows the calculation of the power-law constant a as -3.63 for MAS
437 and -3.57 for MAS-PPN complexes, (e) Guinier plot of MAS dispersion and MAS – PPN
438 complex dispersion showing two linear regions in the high and low q values, (f) Double-
439 logarithmic plot of intensity vs. the scattering vector for MAS and MAS-PPN complexes in
440 liquid form, showing power law behaviour

441 The value of a depends on sample morphology and allows the determination of mass and
442 surface fractal dimensions (D_m and D_s respectively). Fractal geometry is applied to simplify the
443 interpretation of the scattering effects from complex and disordered structures.

444 For mass and surface fractals the following conditions must be obeyed by α :

$$445 \quad \alpha = D_m \text{ and } 0 < D_m < 3 \quad \text{Equation 15}$$

446 or

$$447 \quad \alpha = 6 - D_s \text{ and } 2 < D_m < 3 \quad \text{Equation 16}$$

448 Hence, upon calculation, a surface fractal dimension value (D_s) of 2.37 and 2.43 was obtained
449 for MAS and MAS-PPN complexes respectively, indicating that both samples tested had
450 surface fractals over a length scale of 0.1 nm to 1.0 nm. The values obtained indicate an
451 irregular, rough and space filling surface with the surface of MAS ($D_m = 2.37$) being relatively
452 smoother compared to the surface of MAS-PPN complexes ($D_m = 2.43$). This may be an
453 indication of PPN being intercalated, although this assumption however would be hard to
454 demonstrate as other factors may contribute to slight differences between samples (such as
455 hydration).

456 The α value obtained for MAS in this study was higher than that reported in a similar study
457 showing $\alpha \approx 3$ (Laity et al., 2015). The difference in results shows a difference in structure,
458 most probably due to the different type of MAS used (clay granules vs. fine powder used in
459 present study) (Laity et al., 2015). However, a surface fractal dimension D_s value close to the
460 one obtained in this study was obtained for montmorillonite in a study published in 2007 by
461 De Stefanis et al. (De Stefanis et al., 2007). The authors used small angle neutron scattering
462 (SANS) to determine the fractal dimension and found that montmorillonite had a surface fractal
463 of 2.60, which implies a rough and irregular surface. Similar results were also obtained in a
464 study published in by Pernyeszi and Dékány in 2003, where the authors reported a surface
465 fractal dimension of 2.78 for montmorillonite using SAXS (Pernyeszi and Dékány, 2003).

466 Data acquired for the MAS dispersion and the flocculated complexes formed between MAS
467 and PPN, was represented using a Guinier plot ($\log(I)$ vs. q^2). For both the MAS and the
468 flocculated MAS-PPN complexes, the shape of the curves did not follow a linear dependence
469 over the whole range of q . Furthermore, a careful observation of the graphs shows two linear
470 regions separated by a transition region (Figure 4e). This behaviour suggests a poly-dispersive
471 system in which cluster size is variable. q indicates structural characteristics, hence, the larger
472 slope values in the higher q range compared to the lower q range indicated more scattering at
473 higher q values (Odo et al., 2015). This suggests the presence of large cluster aggregates in the
474 samples. No peaks or other specific features were observed. Similar SAXS profiles to those
475 obtained in this study were also reported elsewhere (Shang, James A. and Jar-shyong, 2001).

476 Due to the large size of clay particles which may exceed 80 nm (near the limit of the SAXS
477 instrument), the basal distance of clays can be calculated instead of the true dimension of the
478 particles (Shang, James A. and Jar-shyong, 2001). Using the Guinier plot, the slope of the best
479 linear fit to the regions of lower q values allows the calculation of the radius of gyration for
480 thickness R_g . The radius of gyration can be calculated only in the low q region because Guinier

481 plot is valid in the range where qR_g is lower than unity (Li, Senesi and Lee, 2016). As a
482 consequence of the polydispersity of the MAS and MAS-PPN dispersions, the thickness R_g
483 could not be determined accurately. The results of the thickness R_g calculated from the Guinier
484 plot may contain high errors due to the resolution of the technique which involves the selection
485 of a linear region (Shang, James A. and Jar-shyong, 2001). Analysis of the data in the form of
486 double logarithmic plot of intensity ($\log I$ [a.u.]) vs. the scattering vector ($\log \{q$ [nm^{-1}]) for
487 both MAS dispersion and MAS-PPN flocculates followed straight lines with no peaks or other
488 specific features, indicating power-law scattering behaviour (Figure 4f). A slope of -2.76 was
489 obtained for MAS dispersion, while a considerably different slope of -3.17 was obtained for
490 the MAS-PPN complex dispersion. The difference in results shows a difference in structure,
491 with the MAS-PPN complex dispersion having a more complex structure.

492

493 *3.3. Recovery of PPN content from MAS-PPN complex particles*

494 A higher amount of PPN was recovered from the complexes in 2 M HCl (26 % w/w) than in
495 pH 6.8 buffer (21 % w/w) suggesting that the polydispersity of MAS particles was influenced
496 by the cations present in the dissolution media (Rojtanatanya and Pongjanyakul, 2010). There
497 was no PPN degradation observed in the media used for the recovery. This was similar to that
498 reported by Totea et al. 2020. The same authors however reported degradation to occur for
499 diltiazem hydrochloride hence the importance of screening for drug degradants.

500 *3.4. Bulk compaction study and porosity*

501 The true powder density was similar for the formulations containing MAS-PPN complexes and
502 those containing MAS-PPN physical mixture which was expected as the MAS and the MAS-
503 PPN complex particles were sieved to the same particle size fraction between 63-125 μm

504 (Table 1). An increase in powder density was however observed upon a decrease in polymer
505 content in the formulations (Table 1).

506 Each of the tablets prepared contained an equivalent of 40 mg PPN. The amount of MAS-PPN
507 complex that contained 40 mg PPN was calculated using the assay. Bulk compaction behaviour
508 was studied for the formulations prepared as plots of relative density vs. upper punch pressure
509 measured during loading to 130 MPa (10 kN) and unloading. The compaction curves of all the
510 samples tested followed similar trends: the compaction behaviour was dominated by plastic
511 recovery during the loading stage following non-reversible deformation, movement and
512 fragmentation of the particles (Laity and Cameron, 2008; Laity *et al.*, 2015). Following
513 unloading, all the specimens exhibited significant elastic recovery showing reversible
514 deformation of some of the structures in the tablets. Overall, the elastic recovery was
515 considerably higher for the specimens containing MAS-PPN physical mixture compared with
516 those containing MAS-PPN complexes (Table 1). An overall increase in elastic recovery during
517 unloading was also observed upon the decrease of polymeric content in the tablets, suggesting
518 that the MAS in the samples exhibits significant elastic recovery upon compaction. This
519 behaviour was previously reported in literature by Laity *et al.* (Laity *et al.*, 2015). The authors
520 found that MAS exhibited significant elastic recovery upon compaction to 204 and 611 MPa.
521 This behaviour was attributed to changes in the basal spacing of the clay upon compaction or
522 to the bending of the clay platelets. The reduction in elastic recovery during compaction upon
523 use of MAS-PPN complexes in the tablets instead of MAS-PPN physical mixture is of high
524 importance because it reduces the chances of tablets cracking or tooling wear (Laity *et al.*,
525 2015). Tablet porosity was shown to be slightly higher for the tablets containing the MAS-PPN
526 complexes (Table 1) which may offer additional benefits in controlling PPN release due to
527 potential differences in water ingress. It is however important to also note that other important
528 tablet characteristics such as drug solubility, surface area and particle size can influence

529 dissolution rates (Riippi *et al.*, 1998). It was also interesting to note that there was a significant
530 reduction in the porosity of the tablets with an increase in the polymer content. PEO5%_PM
531 had a porosity of 23 % and this was significantly reduced to 8 % in the PEO50%_PM tablets
532 (Table 1).

533 *3.5. Dissolution, dissolution parameters and kinetics of drug release*

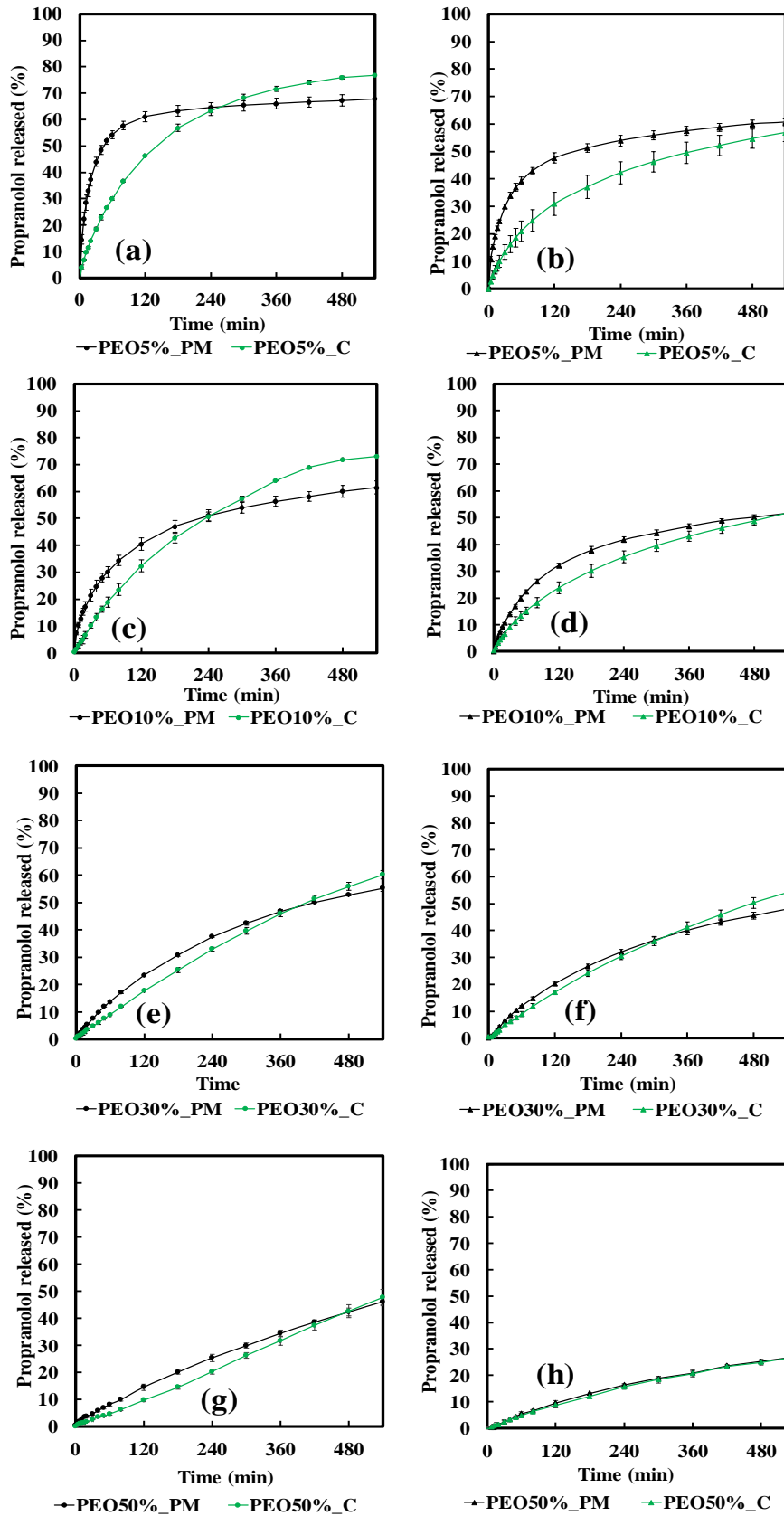
534 Figure 5a-h displays the dissolution profiles of the MAS-PPN physical mixtures in comparison
535 to their complexed counterparts at the various polymers levels (5-50 %). These figures also
536 show the influence of pH media (pH 1.2 and 6.8) on these matrices. Table 3 also depicts the
537 mechanisms and kinetic values of drug release from these matrices. Matrices containing 5 %
538 polymer content with the MAS-PPN physical mixture gave sustained PPN release after an
539 initial burst in both acid and buffer. However, this behaviour was not observed in the
540 dissolution profiles of tablets containing 5 % PEO and MAS-PPN complexes which, in turn,
541 demonstrate the efficacy of the MAS-PPN reservoirs in retarding drug release (Figure 5 a and
542 b). A similar behaviour was also observed at the 10 % polymer level. These effects were
543 however less noticeable as the amount of polymer in the tablets increased to 30 % and 50 %.
544 The increase in drug release in acid compared to the buffer may also be explained by the
545 hydrogen ions having a smaller size when compared with sodium ions (28 pm compared with
546 161 pm respectively), which may promote a deeper diffusion of the hydrogen ions inside the
547 particles) (Rojtanatanya and Pongjanyakul, 2010). Furthermore, the increase in ionic strength
548 of the medium (pH 6.8) causes the polymer to lose its water hydration properties and show a
549 lower degree of swelling as ions present in solution compete for the available water of
550 hydration (Kavanagh and Corrigan, 2004). Of interest in Table 3 is the mean dissolution time
551 (MDT) values. There was an increase in the MDT values with an increase in the polymer
552 content in both media. It was also observed that the MDT values were significantly increased
553 in the pH 6.8 media with the MAS-PPN complexes having significantly higher values in all

554 cases (Table 3). The ability of the MAS-PPN complexes to control drug release is poignant
555 especially at the lower polymer levels (MDT at pH 1.2; = ~50 min and ~135 min for the
556 physical mixture and complexes respectively at the 5 % polymer level; MDT at pH 6.8; = ~92
557 min and ~169 min for the physical mixture and complexes respectively at the 5 % polymer
558 level; MDT at pH 1.2; = ~129 min and ~182 min for the physical mixture and complexes
559 respectively at the 10 % polymer level; MDT at pH 6.8; = ~138 min and ~201 min for the
560 physical mixture and complexes respectively at the 10 % polymer level (Table 3)). This
561 decrease in drug release with an increase in PEO content has also been observed previously
562 with particle size and viscosity being shown to also be a contributory factor (Shojaee et al.,
563 2013, 2015).

564 The kinetics of drug release revealed that all but one of the profiles for the physical mixture
565 formulation exhibited anomalous transport. There was a general increase in the n values with
566 an increase in polymer content suggesting an increase in erosion occurring with increase
567 polymer content (Table 3). There was also a general decrease in the n values in pH 6.8
568 compared to the pH 1.2 media. The complexes however displayed significant n values
569 compared to their physical mixture counterparts in both media tested. The complexes all
570 exhibited either anomalous transport or case II transport (Table 3). The case II transport kinetics
571 were observed with the significant increased polymer contents (50 % PEO contents). A
572 comparison of the physical mixture kinetics (pH 1.2 at 50 % polymer level; $n = 0.8$; pH 6.8 at
573 50 % polymer level; $n = 0.77$) and complexes (pH 1.2 at 50 % polymer level; $n = 1.06$; pH 6.8
574 at 50 % polymer level; $n = 1.07$) exhibits the importance of using the complexes in
575 manipulating drug release and its kinetics which are of importance to a formulator.

576

577



578

579 Figure 5: PPN release profile from matrices made of MAS-PPN physical mixture and complex
 580 particles combined with PEO at (a) 5 % in pH 1.2, (b) 5 % in pH 6.8, (c) 10 % in pH 1.2, (d)

581 10 % in pH 6.8, (e) 30 % in pH 1.2, (f) 30 % in pH 6.8, (g) 50 % in pH 1.2, (h) 50 % in pH 6.8
 582 over 9 h
 583 Table 3. Mechanism and kinetics of drug release of tablet matrices prepared using MAS-PPN
 584 physical mixture and MAS-PPN complexes incorporated in 5 - 50 % PEO polymer content (n
 585 = 3).

Formulation	Dissolution media	Polymer amount in formulation (% w/w)	Formulation code	DE _{540 min} (%)	MDT (min)	n value
<i>MAS-PPN (phys. mix) + PEO</i>	pH 1.2	5	<i>PEO5%_PM</i>	62.34	50.31	0.45
		10	<i>PEO10%_PM</i>	49.57	129.24	0.45
		30	<i>PEO30%_PM</i>	38.22	200.16	0.70
		50	<i>PEO50%_PM</i>	28.47	256.99	0.80
<i>MAS-PPN (complex) + PEO</i>	pH 1.2	5	<i>PEO5%_C</i>	60.57	134.75	0.70
		10	<i>PEO10%_C</i>	51.77	182.28	0.83
		30	<i>PEO30%_C</i>	37.04	254.06	0.91
		50	<i>PEO50%_C</i>	26.12	300.55	1.06
<i>MAS-PPN (phys. mix) + PEO</i>	pH 6.8	5	<i>PEO5%_PM</i>	52.44	91.58	0.35
		10	<i>PEO10%_PM</i>	40.49	137.84	0.51
		30	<i>PEO30%_PM</i>	32.89	204.26	0.68
		50	<i>PEO50%_PM</i>	25.33	254.5	0.77
<i>MAS-PPN (complex) + PEO</i>	pH 6.8	5	<i>PEO5%_C</i>	42.26	169.13	0.55
		10	<i>PEO10%_C</i>	36.23	201.2	0.63

30	<i>PEO30%_C</i>	33.67	249.41	0.83
50	<i>PEO50%_C</i>	21.33	291.03	1.07

586

587

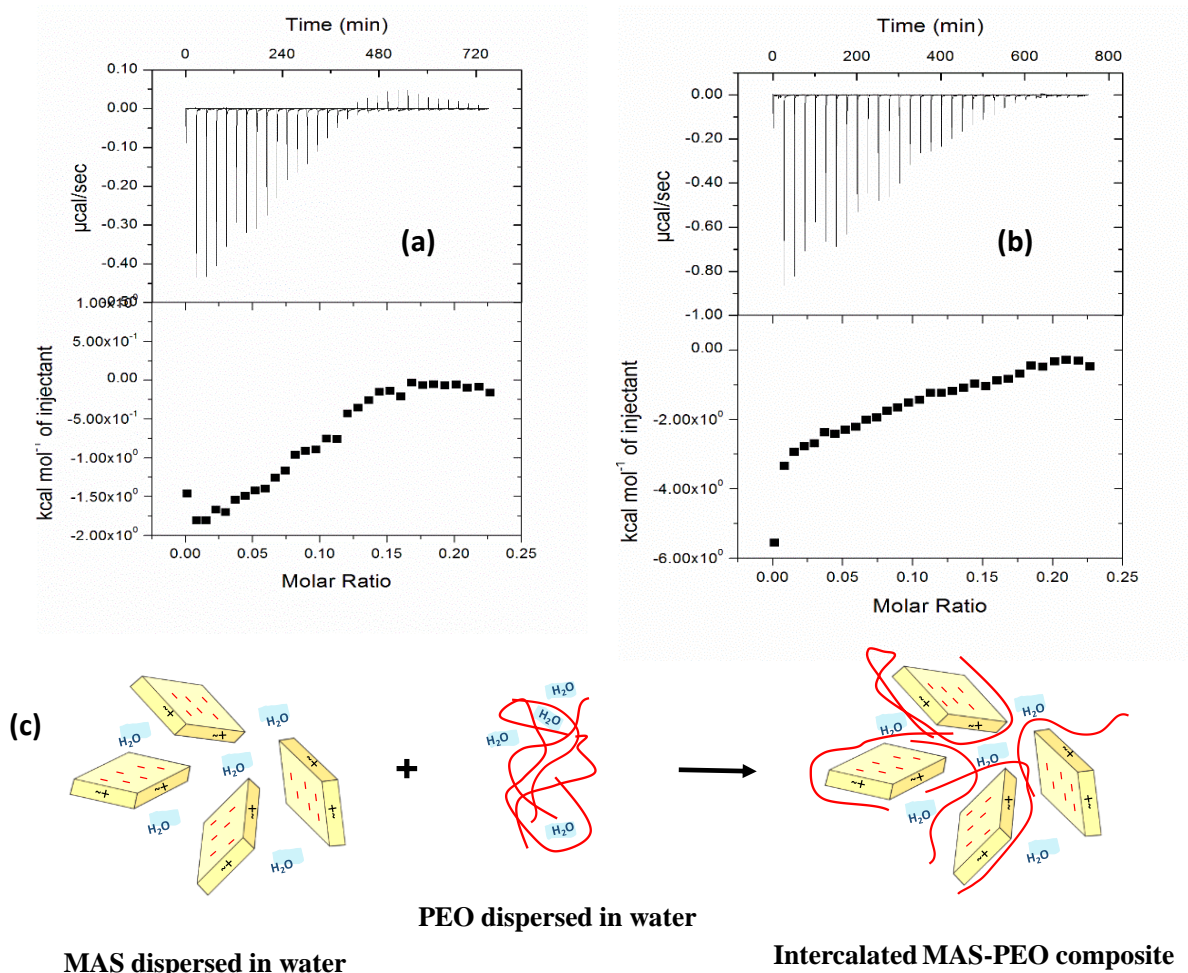
588 *3.6. Calorimetric binding studies*

589 SIM and MIM studies for MAS-PPN complexes have been explored and reported previously
 590 by Totea et al. 2019. The binding between MAS and PPN was reported to be predominantly
 591 enthalpically driven, a consequence of changes in hydrogen bonds and electrostatic
 592 interactions. Here, the authors focus on the potential interactions at the molecular level
 593 between, MAS and PEO, PEO and MAS and the adsorption of PPN on MAS-PEO complexes.

594 The calorimetric signal, and therefore thermodynamic interaction between MAS and PEO at
 595 25 and 37 °C differed from one another. Figure 6a suggest that PEO can weakly bind to MAS,
 596 as expected based on previously reported findings (Gao, 2004). The binding isotherm at 25 °C
 597 (Figure 6a) indicates endothermic, non-constant heats in the later stages of the titration in the
 598 presence of excess PEO. This could be a consequence of the aggregation of PEO-MAS
 599 complexes or a contribution of the PEO or MAS self-aggregation in water at pH 5. Aggregation
 600 is known to be a rather random and somewhat unpredictable process that can contribute to the
 601 experimental heat measured by the calorimeter and compete with the binding process
 602 (Velazquez-Campoy and Freire, 2006). An increase in temperature was shown to reduce the
 603 occurrence of aggregation, as evidenced by the comparatively constant ITC heat signals in the
 604 later stages of MAS titration with excess PEO at 37 °C (Figure 6b). A further investigation of
 605 PEO dilution into water at pH 5 (25 °C) displayed a monotonous decrease of ITC heat signals
 606 without sigmoidal behaviour, suggesting that PEO self-associates weakly in aqueous solution.
 607 This finding fits well with a previously published study that found PEO aggregates coexist with

608 PEO unimers in aqueous solution (Dai and Tam, 2005). With an amphiphilic structure
 609 (hydrophobic backbone and hydrophilic side groups), PEO may show a tendency to self-
 610 aggregate in aqueous solution, even at a low concentrations. Constant heats of dilution were
 611 observed for MAS dilution into water, showing no aggregation behaviour for MAS in water at
 612 pH 5 (25 °C) (Figure not shown). This therefore suggests that PEO can intercalate between the
 613 MAS particles and hence, a model may be proposed (Figure 6 c).

614



615

616 Figure 6. Titration of 0.037 % w/v PEO dispersion (pH 5) into 0.037 % w/v MAS dispersion
 617 (pH 5) at 25 °C (a) and 37 °C, (b) Raw data (top) and integrated heats (bottom) as a function
 618 of molar ratio, (c) scheme of MAS-PEO intercalated composite formation using MAS

619 dispersed in water and PEO dispersed in water: adapted from (Gao, 2004). Note: Red lines are
620 PEO polymer.

621 Experiments conducted at pH 5 and at 25 °C showed constant dilution heats and hence no
622 interaction occurring between PPN and PEO (Figure not shown). The same behaviour was
623 reported previously in analysing controlled drug release through synergistic interactions
624 between PEO and NaCMC (Palmer *et al.*, 2013).

625 Multiple injection stepwise experiments at pH 5 allowed the observation of the binding
626 between PPN (0.150 % w/v) and MAS-PEO mixture (0.037 % w/v MAS; 0.037 % w/v PEO)
627 (Figure 7a and b). The binding was highly exothermic at the two different temperatures studied
628 (25 and 37 °C) and was characterised by a negative enthalpy change (ΔH) (-12.44 ± 0.10
629 kcal/mol at 25 °C and -10.68 ± 0.19 kcal/mol at 37 °C) and a positive entropy change ($-T\Delta S$)
630 (5.99 ± 0.47 kcal/mol at 25 °C and 3.59 ± 0.23 kcal/mol at 37 °C), suggesting that the process
631 was enthalpy driven and entropically unfavourable, as high energy resulted from broken and
632 created hydrogen bonds as well as electrostatic van der Waals interactions. The change in
633 temperature did not have a great impact on PPN adsorption onto MAS-PEO mixture. The
634 change in the Gibbs free energy (ΔG) was similar for the PPN titration into MAS-PEO mixture
635 at both temperatures (-6.46 ± 0.52 kcal/mol at 25 °C and -7.09 ± 0.18 kcal/mol at 37 °C),
636 suggesting that both reactions occurred spontaneously (Table 4). The increase in temperature
637 led to a slight increase in the binding affinity (K_a) between PPN and MAS-PEO mixture at 37
638 °C ($5.37E+04 \pm 7.54E+03$ M at 25 °C compared to $8.63E+04 \pm 6.11E+03$ M at 37 °C).

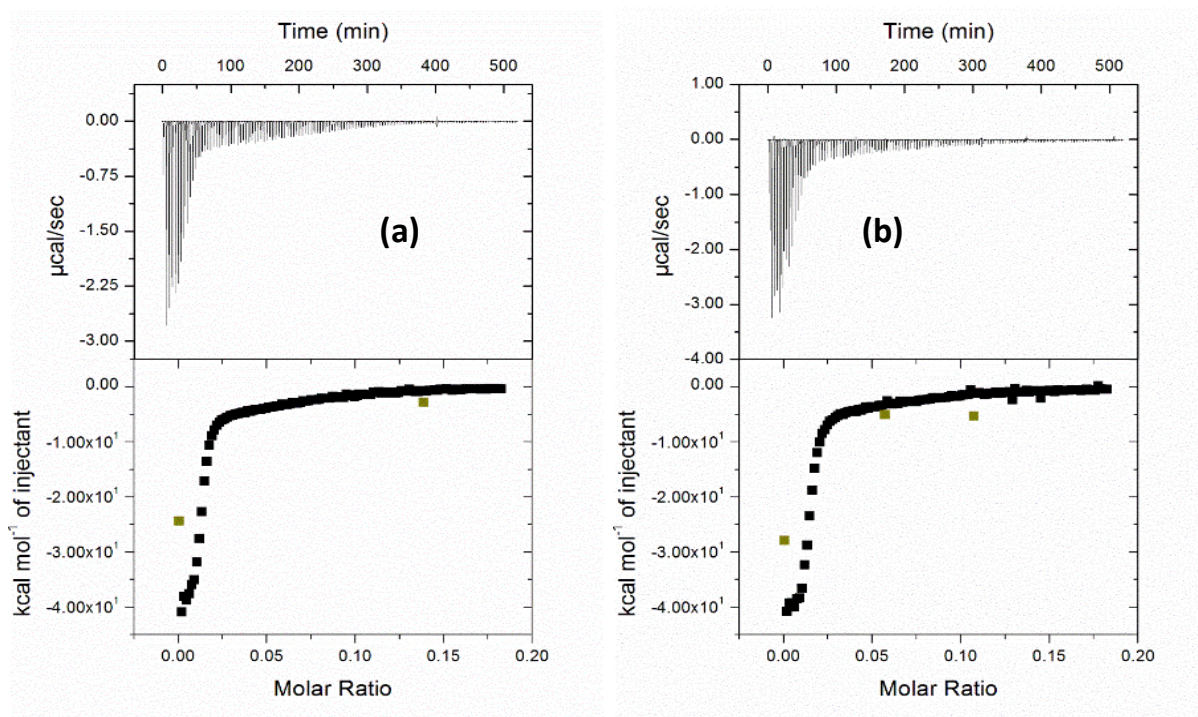
639 Table 4. The binding parameters (affinity, free energy, binding enthalpy and entropy factor)
640 for PPN solution (0.150 % w/v) titration into a MAS and PEO mixture (0.037 % w/v MAS;
641 0.037 % w/v PEO) at 25 and 37 °C (pH 5) ($n = 3$).

25 °C	37 °C
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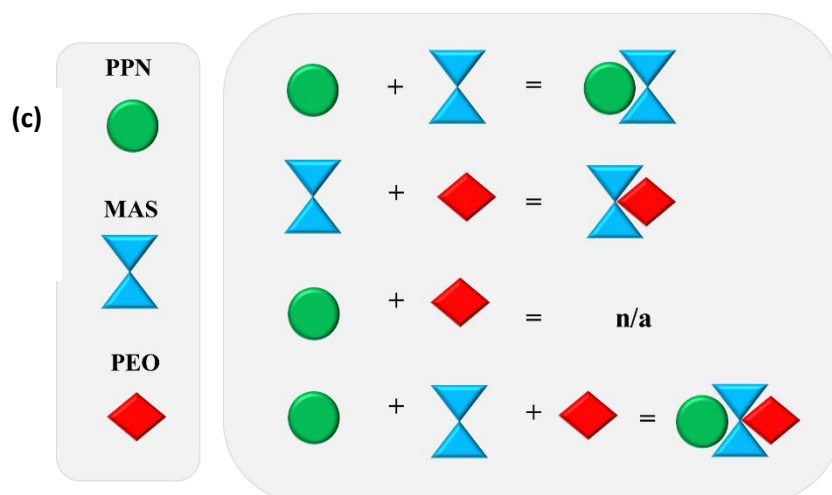
K_a (M)	5.37E+04 ± 7.54E+03	8.63E+04 ± 6.11E+03
ΔG (kcal/ mol)	-6.46 ± 0.52	-7.09 ± 0.18
ΔH (kcal/ mol)	-12.44 ± 0.10	-10.68 ± 0.19
-TΔS (kcal/ mol)	5.99 ± 0.47	3.59 ± 0.23

642

643 Differences between PPN adsorption onto MAS, compared to PPN adsorption onto MAS-PEO
644 mixture were observed which are due to the addition of the PEO polymer to the reaction (Totea
645 et al., 2019). At both temperatures studied the binding affinity (K_a) was greater for the titration
646 of PPN into the MAS-PEO mixture ($5.37E+04 \pm 7.54E+03$ M at 25 °C and $8.63E+04 \pm$
647 $6.11E+03$ M at 37 °C), compared with the affinity obtained upon binding between PPN and
648 MAS ($2.61E+04 \pm 2.71E+03$ M at 25 °C and $1.48E+04 \pm 1.48E+03$ M at 37 °C), suggesting a
649 stronger binding. Studies showed no interaction between PEO and PPN. However, MAS and
650 PEO binding was confirmed, which may imply that some of the sites available on the clay for
651 PPN adsorption would have been saturated with PEO during the preparation of the MAS-PEO
652 mixture prior to the injection of PPN. Hence, PEO binding onto the clay may interfere with the
653 amount of PPN adsorbed onto MAS. This may potentially impact on PPN release from tablets
654 made of MAS-PPN complex particles and PEO (added as a physical mixture) as the polymer
655 may saturate available sites onto the clay preventing the drug being readsorbed once released.
656 Based on the findings concerning the binding reactions taking place between MAS, PPN and
657 PEO, a reaction scheme may be proposed which can explain the dissolution process observed
658 (Figure 7 c).



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661 Figure 7. Titration of 0.150 % w/v PPN solution (pH 5) into MAS-PEO mixture (0.037 % w/v
 662 MAS; 0.037 % w/v PEO; pH 5) at 25 °C (a) and 37 °C (b). Results are based on three
 663 independent repeats conducted under identical conditions. Schematic representation using
 664 shapes of possible chemical interactions between PPN, MAS and PEO based on isothermal
 665 titration calorimetry results (c).

666 **4. Conclusion**

667 MAS-PPN flocculates were dried and characterised using a wide variety of techniques namely
668 ATR-FTIR, PXRD, DSC, SEM/EDX, HPLC and SAXS which all confirmed changes as a
669 result of the binding process between the two materials which may have benefits for
670 formulators through controlled drug release. The binding between PEO and PPN and MAS was
671 also assessed using ITC to give insights into the thermodynamics. No binding was observed
672 between PPN and PEO, whilst PEO was shown to be adsorbed onto MAS. The influence of the
673 PEO on the PPN adsorption onto MAS was also observed when PPN was adsorbed onto the
674 MAS-PEO mixture. This was probably a consequence of a reduction in the available sites on
675 MAS for binding. The thermodynamic parameters calculated suggest that the process was
676 enthalpically driven. The compact made from the MAS-PPN complexes or MAS-PPN
677 physically mixed with PEO revealed a compaction behaviour that was dominated by plastic
678 recovery during the loading stage following non-reversible deformation, movement and
679 fragmentation of the particles. Dissolution in pH 1.2 and pH 6.8 showed that PEO was able to
680 control PPN release in both media with very low polymer concentrations in tablets (5% w/w).
681 An increase in polymer content to up to 50 % incorporated with the MAS-PPN complexes
682 displayed case II transport drug release meaning drug release the dissolution process was
683 controlled by erosion and that the rate of drug release was independent of time thereby a 'zero-
684 order' release.

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691 **References**

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